

CCAF – 2016 – Grants Update

Crystal Wolfrey
Office of Grants Administration, NCI



April 3, 2016

OGA Organization Chart

Crystal Wolfrey
Director, Chief Management Officer

Grants Portfolio Management Branch A

Sean Hine - Branch Chief

Vacant - Lead Specialist

Team 1

Amy Baranoch - Team Leader

Nash Jawahar

Jennifer Edwards

Funmi Elesinmogun

Teresa McGraw

Sharon Richards

Rosemary Ward

Nicole Flanigan

Team 2

Margie Nyarabizi - Team Leader

Viviana Knowles

Barbara Liesenfeld

Marianne Galsczynski

Kerry Gasfield

John Prichard

Lona Neuwam

Grants Portfolio Management Branch B

Shane Woodward - Branch Chief

Janice Montes - Lead Specialist

Team 3

Dawn Mithum - Team Leader

Barbara Farnet

Sarah Lee

Ada Vasquez

Esther Young

Justin Birken

Ashley Sato

Team 4

Tawana McKeethat - Team Leader

LeDacia Browne

Renee Carruthers

Kimberly Griffin

Leslie Hickman

Robert Mayhew

Merrigan Owens

Alana Foster

Grants Portfolio Management Branch C

Carol Perry - Branch Chief

Alice Wong - Lead Specialist

Team 5

Elk Edgerton - Team Leader

Dianna Bailey

Jackie Boudjeda

Becky Brightful

Kelly Fitz

Roma Ross

Ashley Uiter

Team 6

Jason Gill - Team Leader

Candace Coffe

Jay Aguilera

Amy Gipson

Debra Sowell

Chase Taylor

Angela Walters

Business Operations Branch

Crystal Wolfrey - Acting Branch Chief

Hue Tran - Program Specialist

Business Services Team 1

Boyan Baker - Team Leader

Anna Sharer

Emily Diskoff

Joe D'Arce

Nicole Jones

Business Services Team 2

Stacey Kocher - Team Leader

Robert Jones

Kevin Jackson

Umana Chasana

Imran Chhatr (contractor)

Hareesh Jayini (contractor)

Leon Chien (contractor)

Frances Gu (contractor)

Jamela Carswell (contractor)



FY16 Cancer Center GM Specialist Assignments

| Institution | Specialist | Institution | Specialist |
|--|-----------------|--|--------------------|
| ALBERT EINSTEIN COL OF MED YESHIVA UNIV | DEBRA SOWELL | MASSACHUSETTS INSTITUTE OF TECHNOLOGY | JOY KEARSE |
| BAYLOR COLLEGE OF MEDICINE | VIVIANA KNOWLES | MAYO CLINIC | ROMY REIS |
| CASE WESTERN RESERVE UNIVERSITY | AMY GIPSON | MEDICAL UNIVERSITY OF SOUTH CAROLINA | TRACIE MCGRAW |
| CITY OF HOPE/BECKMAN RESEARCH INSTITUTE | LONG NGUYEN | NEW YORK UNIVERSITY SCHOOL OF MEDICINE | CANDACE COFFE |
| COLD SPRING HARBOR LABORATORY | MARTINSON OWUSU | NORTHWESTERN UNIVERSITY AT CHICAGO | BARBARA LIESENFELD |
| COLUMBIA UNIVERSITY HEALTH SCIENCES | JACKIE BOUDJEDA | OHIO STATE UNIVERSITY | MARTINSON OWUSU |
| DANA-FARBER CANCER INSTITUTE | BECKY BRIGHTFUL | OREGON HEALTH AND SCIENCE UNIVERSITY | BARBARA LIESENFELD |
| DARTMOUTH COLLEGE | KIMERY GRIFFIN | PURDUE UNIVERSITY WEST LAFAYETTE | RENEE CARRUTHERS |
| DUKE UNIVERSITY | ROSEMARY WARD | RBHS - CANCER INSTITUTE OF NEW JERSEY | JENNIFER EDWARDS |
| EMORY UNIVERSITY | ALICE WONG | RESEARCH INST OF FOX CHASE CAN CTR | CANDACE COFFE |
| FRED HUTCHINSON CANCER RESEARCH CENTER | JUSTIN BIRKEN | ROSWELL PARK CANCER INSTITUTE | VIVIANA KNOWLES |
| GEORGETOWN UNIVERSITY | MARTINSON OWUSU | CGRP | BECKY BRIGHTFUL |
| H. LEE MOFFITT CANCER CTR & RES INST | ROSEMARY WARD | SALK INSTITUTE FOR BIOLOGICAL STUDIES | SARAH LEE |
| ICAHN SCHOOL OF MED AT MT SINAI | ROMY REIS | STANFORD-BURNHAM MEDICAL RESEARCH INST | SARAH LEE |
| INDIANA UNIV-PURDUE UNIV AT INDIANAPOLIS | ESTHER YOUNG | SLOAN-KETTERING INSTITUTE FOR CANCER RES | FUNMI ELESINMOGUN |
| JACKSON LABORATORY | SARAH LEE | ST. JUDE CHILDREN'S RESEARCH HOSPITAL | ESTHER YOUNG |
| JOHNS HOPKINS UNIVERSITY | JACKIE BOUDJEDA | STANFORD UNIVERSITY | AIDA VASQUEZ |
| | | THOMAS JEFFERSON UNIVERSITY | JOY KEARSE |



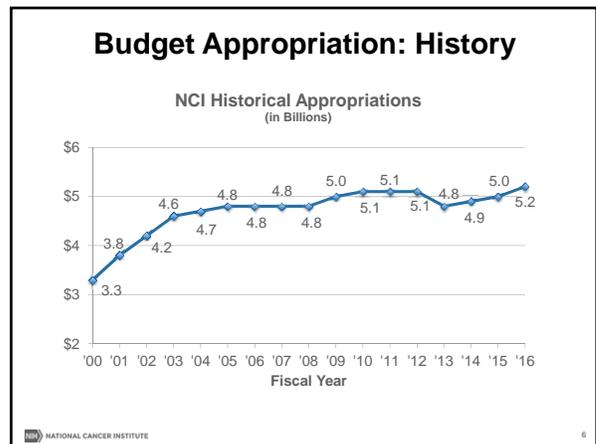
FY16 Cancer Center GM Specialist Assignments

| Institution | Specialist | Institution | Specialist |
|--|--------------------|--|---------------------|
| UNIV OF TX HSC, SA | BARBARA FISHER | UNIVERSITY OF NEBRASKA MEDICAL CENTER | LESCHIELL BROWNE |
| UNIVERSITY OF ALABAMA AT BRIMMINGHAM | ESTHER YOUNG | UNIVERSITY OF NEW MEXICO | BARBARA FISHER |
| UNIVERSITY OF ARIZONA | ROSEMARY WARD | UNIVERSITY OF NORTH CAROLINA CHAPEL HILL | BARBARA FISHER |
| UNIVERSITY OF CALIFORNIA | DIANNA BAILEY | UNIVERSITY OF PENNSYLVANIA | KIMERY GRIFFIN |
| UNIVERSITY OF CALIFORNIA DAVIS | LONG NGUYEN | UNIVERSITY OF PITTSBURGH AT PITTSBURGH | RENEE CARRUTHERS |
| UNIVERSITY OF CALIFORNIA IRVINE | LONG NGUYEN | UNIVERSITY OF SOUTHERN CALIFORNIA | JAMME MONTES |
| UNIVERSITY OF CALIFORNIA LOS ANGELES | AMY GIPSON | UNIVERSITY OF TEXAS SW MED CTR | SHARON RICHARDS |
| UNIVERSITY OF CALIFORNIA SAN FRANCISCO | TRACIE MCGRAW | UNIVERSITY OF UTAH | JENNIFER EDWARDS |
| UNIVERSITY OF CHICAGO | BECKY BRIGHTFUL | UNIVERSITY OF VIRGINIA CHARLOTTESVILLE | KIMERY GRIFFIN |
| UNIVERSITY OF COLORADO DENVER | DEBRA SOWELL | UNIVERSITY OF WISCONSIN MADISON | LESLIE HICKMAN |
| UNIVERSITY OF HAWAII AT MANOA | BARBARA LIESENFELD | UT MD ANDERSON CANCER CTR | LESLIE HICKMAN |
| UNIVERSITY OF IOWA | JOY KEARSE | VANDERBILT UNIVERSITY | ROMY REIS |
| UNIVERSITY OF KANSAS MEDICAL CENTER | AMY GIPSON | VIRGINIA COMMONWEALTH UNIVERSITY | AIDA VASQUEZ |
| UNIVERSITY OF KENTUCKY | FUNMI ELESINMOGUN | WAKE FOREST UNIVERSITY HEALTH SCIENCES | LESCHIELL BROWNE |
| UNIVERSITY OF MARYLAND BALTIMORE | AIDA VASQUEZ | WASHINGTON UNIVERSITY | ALANIA FOSTER |
| UNIVERSITY OF MICHIGAN AT ANN ARBOR | JENNIFER EDWARDS | WAYNE STATE UNIVERSITY | DIANNA BAILEY |
| UNIVERSITY OF MINNESOTA TWIN CITIES | RENEE CARRUTHERS | WISSTAR INSTITUTE | JUSTIN BIRKEN |
| | | YALE UNIVERSITY | MARIANNE GALCZYNSKI |



Let's Review 2015





FY 2016 Fiscal Policy – Salaries and New Investigators

- Salary Cap
 - Limited to Executive Level II – was set at \$183,300, effective January 10, 2016, increased to \$185,100.
 - See NOT-OD-16-059
- New Investigators
 - Support on R01 equivalent awards will continue at rates comparable to established investigators.
 - Additional information can be found at: http://grants.nih.gov/grants/new_investigators/index.htm

13

FY 2016 Fiscal Policy Ruth L. Kirschstein National Research Service Awards (NRSA)

- Stipends increased for undergraduate, graduate students and post-doctoral recipients at a rate of approximately 2 percent.
- Training Related Expenses (TRE) and Institutional Allowances (IA) have increased for post-doctoral recipients by \$1,000.
- No changes in tuition, fees, and TRE / IA for undergraduate and graduate students.

See NOT-OD-16-062 for details.

14

NCI Budget News for FY 2016

Consolidated Appropriations Act, 2016
NATIONAL CANCER INSTITUTE

- For carrying out section 301 and title IV of the PHS Act with respect to cancer, \$5,214,701,000, of which up to \$16,000,000 may be used for facilities repairs and improvements at the National Cancer Institute —Frederick Federally Funded Research and Development Center in Frederick, Maryland.
- An increase of \$260.5 million over fiscal year 2015.



NATIONAL CANCER INSTITUTE

15

NCI FY 2016 Funding Policies

- Competing R01 applications are likely to be funded as follows:
 - Early Stage Investigator R01s – 12th percentile
 - Non-ESI R01s – 10th percentile
 - R21s – 7th percentile
- Additional applications will again be funded with NCI Senior Leadership approvals
- Awarded an additional 19 OIAs from the first submission
- Have received OIA applications from a second submission – will be reviewed for possible funding later this year

NATIONAL CANCER INSTITUTE

16

NCI FY 2016 Funding Levels

- Non-Competing RPG Funding Policy – fund at the FY 2016 committed level
- Competing RPG Funding Policy
 - Modular R01 Awards
 - New awards - generally will sustain an 8.5% reduction from adjusted requested
 - Competing Renewal awards – generally will be funded at a 5% reduction from the Type 2 capped level
 - All Other Awards
 - New awards - generally will sustain 17% reduction from adjusted requested
 - Competing Renewal awards – generally will be funded at a 10% reduction from the Type 2 capped level

NATIONAL CANCER INSTITUTE

17

New Opportunities in FY 2016



NATIONAL CANCER INSTITUTE

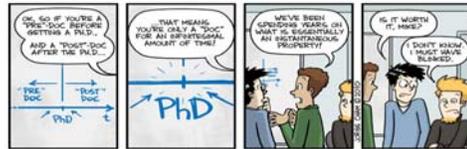
18

NCI Research Specialist Award (R50)

- Designed to encourage the development of stable research career opportunities for exceptional scientists who want to pursue research within the context of an existing cancer research program, but not serve as independent investigators.
- Scientists, such as researchers within a research program, core facility managers, and data scientists, are vital to sustaining the biomedical research enterprise.
- Intended to provide desirable salaries and sufficient autonomy so that individuals are not solely dependent on grants held by Principal Investigators for career continuity.
- [PAR-16-025](#) – closed on February 9th for anticipated funding July 2016.
- NCI hopes to reissue later this Fiscal Year.

NCI Predoctoral to Postdoctoral Fellow Transition Award (F99/K00)

- [RFA-CA-16-005](#) – Submission date February 19th – Anticipated funding in September 2016
- F99 phase - up to 2 years followed by K99 phase up to 4 years
- Designed to facilitate the transition of talented grad students into successful cancer research postdoc appointments
- Provide opportunities for career development activities relevant to the long-term career goals of becoming independent cancer researchers



Policy Updates



NIH Grants Policy Statement

- [FY2016 NIH Grants Policy Statement](#) was issued on November 25, 2015 and is effective for all grants and cooperative agreements with budget periods beginning on or after 10/1/2015.
- NIH continues to include a [Significant Changes](#) summary to highlight the notable grants policy changes and clarifications that were included in the FY 2016 version of the NIHGPS.
- The FY2016 NIHGPS supersedes, in its entirety, the 3/31/2015 version as a standard term and condition of award.

See [NOT-OD-16-030](#) for details.

Research Terms and Conditions

The purpose of the activity was to develop a revised set of Research Terms and Conditions (RTC) as they apply to research and research-related grants made by the participating agencies to institutions of higher education and non-profit organizations.

The RTC document was published in the Federal Register on October 14, 2015. The public had a 60-day comment period, which ended December 14, 2015.

- Feedback received from the RTC/FDP subcommittee in December 2015.

Next Steps:

- Review of all comments by NIH/NSF with participating agencies and submit recommendations to RBM for consideration.
- Consideration of all public comments by RBM
- Clearance of finalized document by RBM
- Implementation targeted for mid FY16

To view the draft Research Terms and Conditions, see: <http://www.ncf.gov/awards/managing/rtc.jsp>.

OMB Federal Awardee Performance and Integrity Information System (FAPIS) Requirement

FAPIS - a system to capture past performance and integrity and business ethics information related to recipients of Federal contracts, grants and cooperative agreements.

- HHS issued technical amendments to 45 CFR Part 75 on 1/20/2016
- NIH implemented the FAPIS requirements on 2/16/2016.
- NIH extramural staff are required to consider information in FAPIS prior to award.
- NIH officials are required to enter certain information related to grantee performance into FAPIS
- Recipients with a cumulative total of greater than \$10M in active Federal awards are required to enter information about criminal, civil and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period and make semiannual reports.
- Public website for FAPIS: <https://www.fapis.gov/fapis/index.action>

See [NOT-OD-16-067](#) for details.

Requirements for the Appropriate Signatures on NIH Forms and Official Documentation



- Effective June 1, 2016, NIH will no longer accept forms or other documentation bearing generic departmental signatures or their electronic equivalent (e.g., Department of Sponsored Research).
- All forms and documentation submitted to the NIH must reflect the name of the individual, electronic or otherwise, with the appropriate institutional authority to submit such information (i.e., Authorized Organizational Official (AOR), Signing Official (SO), Business Official (BO), Principal Investigator (PD/PI)).

See [NOT-OD-16-071](#) for details.

Enhancing Reproducibility through Rigor and Transparency

- Scientific rigor - strict application of the scientific method to ensure robust and unbiased experimental design, methodology, analysis, interpretation and reporting of results that others may reproduce and extend the findings.
- To ensure that NIH is funding the best science and to enhance reproducibility of research findings through increased scientific rigor and transparency, NIH has updated application instructions and review criteria, including:
 - Revisions to application guide instructions for preparing your research strategy attachment
 - Use of a new "Authentication of Key Biological and/or Chemical Resources" attachment
 - Additional rigor and transparency questions reviewers will be asked to consider when reviewing applications
- These updates focus on four areas deemed important for enhancing rigor and transparency:
 - The scientific premise forming the basis of the proposed research,
 - Rigorous experimental design for robust and unbiased results,
 - Consideration of relevant biological variables, and
 - Authentication of key biological and/or chemical resources.
- Updates took effect for applications submitted for the [January 25, 2016](#), due date and beyond.
- Note: Research Resource and Related grants or components (P30, P40, P41, P2C, R24, R28, U24, U41, U42, and U2C) may have slightly revised review language; please refer to the Funding Opportunity Announcement.

See [NOT-OD-16-011](#) for details.

NIH Research Performance Progress Reports (RPPR) Updated to Address Rigor and Transparency

NIH has changed the PHS RPPR instructions for all annual non-competing (Type 5) awards that support research activities.

These RPPR updates for rigor and transparency include:

- clarifying long-standing expectations to ensure that NIH is funding the best and most rigorous science,
- highlighting the need for awardees to describe details that may have been previously overlooked,
- preparing non-competing renewals for the next competitive renewal, and
- will help NIH implement and evaluate the policy for both current and new awards.

The RPPR instructions were updated to include the necessary changes within Section B – Accomplishments (i.e., post-award RPPR instructions are updated separately from NIH pre-award application instructions).

See [NOT-OD-16-031](#) for details.

Simplifying NIH's Grant Application Instructions

- NIH gathered input from grantee community on restructuring of NIH grant application instructions. RFI closed on September 25, 2015.
- NIH requested comments on streamlined ways for communicating NIH grant application instructions to applicants in a more concise, helpful way.
- The goal is to reduce administrative burden.
- Stay tuned for updates to the instructions derived from RFI.



See [NOT-OD-15-134](#) for details.

Changes to Policies, Instructions and Forms for 2016 Grant Applications

NIH has updated application forms in response to policy changes that require additional data collection. We have received approval from the Office of Management and Budget to make the needed updates. System support and guidance for these updates are in place and effective as of March 25, 2016.

The changes focus on the following areas:

- Rigor and transparency in research
- Vertebrate animals
- Inclusion reporting
- Data safety monitoring
- Research training and training tables
- Assignment request form
- Font requirements
- Biosketch clarifications

See [NOT-OD-16-004](#) for details.

Simplification of the Vertebrate Animal Section of NIH Grant Applications and Contract Proposals

Updated VAS and Application Review Requirements

If live vertebrate animals are to be used, federal policy requires applicants to address the following criteria:

- Description of Procedures
- Justifications
- Minimization of Pain and Distress
- Euthanasia

Implementation

These requirements, as applicable, are effective for all grant applications except Fellowship (F series) and Training (T series) grants for due dates on or after January 25, 2016, and are effective for all applications for due dates on or after May 25, 2016.

See [NOT-OD-16-006](#) for details.

New NIH Research Training Table Formats for 2016 and the Newly Released xTRACT System

In conjunction with the development of xTRACT, and the implementation of related recommendations from the Working Group, NIH has revised existing research training data tables to:

- Reduce the number of tables from 12 to 8
- Minimize the reporting of individual-level information
- Extend the tracking of trainee outcomes from 10 to 15 years

Implementation

For applications submitted for due dates prior to May 25, 2016, applicants are advised to continue to use [previously issued training data table formats](#).

New [training data table formats](#) must be used for RPPRs that were due on or after December 1, 2015, and applications submitted for due dates on or after May 25, 2016. Applicants may create tables for their applications and RPPRs either by using fillable tables in MS Word or via the xTRACT system.

Changes to Post-Award Forms and Instructions

NIH has implemented new policies that require additional data collection and updates to some of our post-award forms. Approval has been received from the Office of Management and Budget to make the needed form updates and these updates are expected to be in place by March 25, 2016.

The following newly revised forms and instructions have been posted on the [NIH Forms & Applications Page](#).

- PHS Research Performance Progress Report (RPPR)
- Statement of Appointment
- NRSA Termination Notice
- Noncompeting Continuation Progress Report
- Final Progress Report Instructions

See [NOT-OD-16-005](#) for details.

Final Research Performance Progress Reports (FRPPR)

- The format will be the same as the current interim/annual RPPR
- Will replace the final progress report requirement for closeout
- New requirement – Outcomes section will be publicly available, allowing recipients the opportunity to provide the general public with a concise summary of the cumulative outcome or findings of the project (analogous to the Project Summary/Abstract section of the competing application).
- Target date for NIHs implementation is October 2016
- Stay tuned for additional details

NIH Policy on Informed Consent for Human Fetal Tissue Research

- NIH-funded research involving human fetal tissue must be conducted in compliance with all applicable federal, state, and local laws and regulations.
- NIH expects informed consent to have been obtained from the donor for any NIH-funded research using human fetal tissue.
- The policy applies to all NIH-funded competing grant awards, non-competing grant awards, and R&D contracts issued after the date of this policy notice and will be included in the terms and conditions of grant and cooperative agreement awards as well as contracts issued for research involving human fetal tissue.

For more details see Guide Notice [NOT-OD-15-143](#) and [NOT-OD-16-033](#).

NPRM for Revisions to the Common Rule

Purpose is to reduce regulatory burden and enhance effectiveness in protecting human subjects.

- **Key Changes & Goals:**
 - Changing definition of Human Subject to include biospecimens
 - Calibrating degree of oversight to level of risk:
 - Excluded research (11 [Exclusions](#))
 - More exemptions (8 [Exemptions](#) divided into 3 groups)
 - Streamlining IRB review
 - Changes to IRB review
 - Requirement for Single IRB review - multi-site studies
 - Improving informed consent & privacy/security standards
- **Next Steps:**
 - Late Spring - Final Rule issued
 - One year from Final Rule - Implementation
 - Three years from Final Rule - Implementation for more complex elements of policy: sIRB, Biospecimens

Financial Conflict of Interest (FCOI)

In continuing efforts to reduce administrative burden, NIH has clarified certain Investigator FCOI disclosure requirements in the Frequently Asked Questions (FAQs):

- **Disclosure of Reimbursed or Sponsored travel**
 - FAQ E.34. clarifies that a recipient's FCOI policy may establish a threshold for Investigator disclosure of sponsored travel.
- **Disclosure of Significant Financial Interests at the time of application**
 - FAQ E.35. clarifies that if the recipient has a process in place to ensure that a current disclosure is already on file, there is no need to collect Investigator disclosures each time an application is submitted.

See FAQs at http://grants.nih.gov/grants/policy/coi/coi_faqs.htm.

Policy Reminders



Reminder: PMS Subaccounts Timeline and Requirements

- There are no change to the due dates, submission, or review of progress reports for domestic awards for FY 2016.
- NIH will continue to award type 4s to transition funding to subaccounts
- Subaccount Transitional Federal Financial Reports (FFRs)
 - Due no later than 90 days after the end of the calendar quarter in which the budget period ended
 - Non-SNAP awards - Equivalent to annual FFR
 - SNAP awards – report expenditure data that covers the project period from the original start date of the competitive segment through the new project period end date.
 - May include unliquidated obligations

September 30, 2016 – All awards transitioned to subaccounts

Genomic Data Sharing Policy

- Reminder that the NIH Genomic Data Sharing Policy became effective with NIH grant applications submitted for January 25, 2015, due dates and thereafter.
- The new GDS Policy promotes sharing of large scale genomic data generated by NIH research.
 - Includes human, non-human, and model organism data

See [NOT-OD-15-027](#) for details.

Reminder Grant Closeout (Unilateral Closeout)

Unilateral Closeout is the closeout of an award without the receipt of acceptable reports from the recipient.

- Unilateral closeout is an important action of last resort after discussions between the grantee and the government are unable to remedy the situation.
- HHS policy requires NIH to unilaterally close awards if the following reports are not submitted and accepted by the NIH.
 - Final Progress Report (FPR)
 - Final Invention Statement and Certification (FIS)
 - Final Federal Financial Report (FFR) – must ensure that there are no discrepancies between the final FFR expenditure data (in eRA Commons) and the FCTR in the PMS.

Reminder Publication Reporting Instructions for RPPRs and Renewal Applications

In order to reduce the burden of unnecessary reporting, NIH clarified that trainee, scholar, and participant publications must be reported in section C.1 of the RPPR if:

- the publication was accepted for publication or published during the reporting period; and
- the publication resulted from work conducted while the individual was supported by the award (i.e., receiving a stipend or salary from the award).

Publications resulting from work conducted while not actively supported by the institutional training, career development, or related award should not be reported in section C. 1.

See [NOT-OD-15-091](#) for details.

Reminder All Subject Inventions Must be Reported on the HHS 568 - Final Invention Statement and Certification and In iEdison

- All recipient institutions (including but not limited to Universities, Non-Profit entities, and For-Profit entities) must file the HHS 568 at the conclusion of a NIH grant or contract.
- All subject inventions that were made under an applicable funding agreement and reported on the HHS 568 must be reported in iEdison.
- Failure to report (on the HHS 568 AND in iEdison) all inventions funded in whole or in part by NIH as required by your funding agreement's terms and conditions, the NIH Grants Policy Statement, and the Bayh-Dole Act may result in your organization's loss of rights in the invention or other actions as appropriate.

See [NOT-OD-16-066](#) for details.

Reminder: iEdison Reporting Requirements and Compliance Messages

Recipients must report inventions electronically through [iEdison](#).

iEdison **no longer** permits a user to waive title to an invention or patent until all outstanding compliance issues and notification messages are resolved.

- Examples of Compliance Notification Messages and actions that grantees **Must** take to resolve these messages:

| Notification Message | Actions Necessary to Resolve Messages |
|---|--|
| No written description of this invention (Disclosure) has been uploaded into iEdison. | Upload an acceptable Invention Disclosure (see the Bayh-Dole Act). |
| A Government Support Clause (GSC) is missing (or not accepted) for a non-provisional patent filing. | Upload a GSC. Preferably the entire patent application as submitted to the USPTO. |
| The submitted Confirmatory License (CL) is missing (or not accepted) for a non-provisional patent filing. | Upload a CL. iEdison will generate the CL for you. |
| Title to this invention has been elected. After title has been elected, a Utilization Report must be submitted annually . . . | Upload a Utilization report for each fiscal year indicated in the "Fiscal Year" column of the Notification Search Results. |

See [NOT-OD-15-119](#) for more details.

Questions? Call 301-435-1986 or email: inventions@nih.gov

Electronic Submission & eRA Commons Updates



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Impact of Grant Application Form Update (Forms-D) on Late and Continuous Submission Applications

- Due to the content and policy changes implemented in FORMS-D application packages, NIH plans to make a clean transition from FORMS-C to FORMS-D to ensure applications reviewed together follow the same instructions.
- If you are eligible for submission under our [late](#), [continuous submission](#), and [system issue](#) policies, NIH urges you to pay special attention to the cutover dates to the new forms.
- Use the forms and instructions for the intended due date found in the Key Dates table found on the funding opportunity announcement (FOA).

NOTE: The continuous submission policy only applies to R01, R21 and R34 activities that follow the [standard submission schedule](#). The dates specified for these three activities are the intended due dates for continuous submission applications.

Impact of Grant Application Form Update (Forms-D) on Late and Continuous Submission Applications Con't

- If applying to a FOA with an intended due date before May 25, 2016, be sure to use the FOA with the FORMS-C application package.
- If applying to an intended due date on or after May 25, 2016, be sure to use an FOA with a FORMS-D application package.
- In some cases, the FOA will include both a FORMS-C and FORMS-D application packages and you will need to choose the correct package based upon the intended due date.
- NIH will reissue all Parent announcements, as well as all fellowship, and individual career development FOAs to ensure that the correct forms package is available.

Impact of Grant Application Form Update (Forms-D) on Late and Continuous Submission Applications Con't

- FOAs that close early will be configured to allow submissions for 14 days after the close date to accommodate [late](#), [continuous submission](#) and [system issue](#) policies.
- If you apply directly to [Grants.gov](#) (rather than using ASSIST or a system-to-system solution), you will need to download your application package or create your [Grants.gov workspace](#) by the intended due date since the FORMS-C application packages will no longer be visible.
- If your intended due date is the May 7, 2016, AIDS due date, you must complete your submission using a FORMS-C application package by May 23, 2016. NOTE: This is an earlier cutoff date for continuous submission applications than is typical—plan accordingly.

See [NOT-OD-16-064](#) for details.

Updated Forms (Forms-D) for Administrative Supplement, Successor-in-Interest and Change of Institution Opportunities

FORMS-D Transition Plan for Administrative Supplement, Successor-in-Interest (Type 6) and Change of Institution (Type 7) Announcements **without** Specific Due Dates

- On May 25, 2016 NIH will reissue Administrative Supplement, Successor-in-Interest (Type 6) and Change of Institution (Type 7) Announcements that do not have specific due dates with new funding opportunity announcement (FOA) numbers and FORMS-D application packages. Applications started on or after May 25, 2016 must use the new FOAs and FORMS-D.

FORMS-D Transition Plan for Administrative Supplement FOAs **with** Specific Due Dates

- On May 25, 2016 NIH will close FORMS-C and add FORMS-D application packages to administrative supplement FOAs with specific due dates.

Note: The current FORMS-C FOA's and application packages will close on May 25, 2016. Although recipients **will not** have the ability to start new applications, you will have 30 days after the close date to submit any **in-progress** applications and complete the submission process. FORM-C submissions will not be accepted after June 24, 2016.

See [NOT-OD-16-068](#) for details.

Application Submission System & Interface for Submission Tracking (ASSIST)

ASSIST (optional) is now available for:

- all single and multi-project, competing grant applications;
- single-project administrative supplements;
- single-project, post-award successor-in-interest (type 6) requests; and
- single-project, post-award change of institution (type 7) requests.

Funding opportunity announcements posted in the [NIH Guide for Grants & Contracts](#) that allow ASSIST as an option contain an "Apply Online Using ASSIST" button in addition to the "Apply Using Downloadable Forms" button.

See [NOT-OD-16-042](#) for details.

49

Key Features of ASSIST

- Collaborate with multiple users while completing the application
- Pre-submission validation of NIH and key Grants.gov business rules
- Pre-population of data from eRA Commons profiles
- Copy application & attachments feature
- Pre-submission print/preview of the application in NIH format
- Tracks status for both Grants.gov and eRA Commons in one system
 - [Training Resources for ASSIST](#)
 - [ASSIST User Guide](#)

50

Working Together



Suggestions from OGA Specialists

- Graduate Students and Postdoctoral Researchers – required descriptions on the use of IDPs in the RPPR
 - NIH progress reports using the [Research Performance Progress Report \(RPPR\)](#) must include a report on the use of IDPs in Section B, Accomplishments, Question B.4. Actual IDPs should not be included. Instead, grantees will report on whether they use IDPs for all the graduate students and postdoctoral researchers included in Section D, list of Participants. [NOT-OD-14-113](#)
- Unobligated Balances reported in the RPPR – two pieces need to be included – an explanation of why the significant balance remains AND a general description of how the funds will be spent. In providing this description, it is important to take into account that the funds would be in addition to the committed level of funding for the next year.
- Timely submission of JIT – especially IRB and IACUC approvals

NCI Resources

- [Cancer.gov Website](#)
 - New design and layout for easier navigation
 - 'Audience-based' to focus content where needed
 - [OGA](#) has a grant specific page with a lot of useful information and more to come including FAQs for investigators and recipients
- [NCI Grants Process Book](#)
 - [Online Edition](#)
 - Formatted as web pages so it's searchable
 - Follows the lifecycle of a grant
 - Easier to edit and keep current with new policies and changing guidelines
 - [New Print Edition](#)
 - PDF available to print online

53

Additional NIH Resources



NIH NATIONAL CANCER INSTITUTE

54

NIH Regionals 2016

The NIH is pleased to announce that registration is now open for two NIH Regional Seminars focused on Program Funding and Grants Administration in 2016.

Spring Session:

[Baltimore, Maryland](#): (Additional Registration, Agenda, and Hotel information available)
Wednesday, May 11, Optional Pre-Seminar Workshops
Thursday-Friday, May 12-13: 2-Day Seminar
Venue: Renaissance Baltimore Harborplace Hotel

Fall Session:

[Chicago, Illinois](#): (Additional Registration, Agenda, and Hotel information available)
Wednesday, October 26: Optional Pre-Seminar Workshops
Thursday-Friday, October 27-28: 2-Day Seminar
Venue: Palmer House Hilton Hotel

See [NOT-OD-16-065](#) for details.

55

Frequently Asked Questions

FAQs –searchable websites for:

Application/progress report preparation, funding initiatives, policies, human subjects, animals, disaster response, PMS Subaccounts, Core Facilities, etc...

http://grants.nih.gov/grants/frequent_questions.htm

56

Summary of Helpful NIH Web Pages

Office of Extramural Research (OER) Web Page:
<http://grants.nih.gov/grants/oer.htm>

NIH Grants Policy Statement (Rev. 11/15):
<http://grants.nih.gov/grants/policy/nihgps/>

NIH Extramural Nexus – newsletter for the extramural community:
<http://nexus.od.nih.gov/all/nexus-by-date/>

Grant Application Basics:

http://grants.nih.gov/grants/grant_basics.htm

eRA Training: Video Tutorials

http://era.nih.gov/era_training/era_videos.cfm

57

Summary of Helpful NIH Web Pages

Applying Electronically:

<http://grants.nih.gov/grants/ElectronicReceipt/index.htm>

Annotated SF424 (R&R) Application Forms (General and Small Business and Multi-project):

<http://grants.nih.gov/grants/ElectronicReceipt/communication.htm#forms>

Ten Checks to Help Avoid Common Application Errors:

http://grants.nih.gov/grants/ElectronicReceipt/avoiding_errors.htm#10checks

Do I have the right electronic forms for my NIH application?:

http://grants.nih.gov/grants/ElectronicReceipt/files/right_forms.pdf

▪ Self Help Resources page:

▪ <http://grants.nih.gov/support/index.html>

58

Summary of Helpful NIH Web Pages

eRA Commons Web pages:

<http://era.nih.gov/>

eRA Commons User Guides:

http://era.nih.gov/commons/user_guide.cfm

Intellectual Property Policy:

<http://grants.nih.gov/grants/intell-property.htm>

▪ Research Portfolio Online Reporting Tools (RePORT):

<http://report.nih.gov>

▪ RePORT Expenditures & Results (RePORTER):

▪ <http://projectreporter.nih.gov/reporter.cfm>

59

NIH OER Listservs

NIH Guide for Grants and Contracts:

Official publication for NIH Grant Policies, Guidelines & Funding Opportunities

<http://grants.nih.gov/grants/guide/listserv.htm>

Office for Human Research Protections (OHRP):

<http://www.hhs.gov/ohrp>

Office of Laboratory Animal Welfare (OLAW):

<http://grants.nih.gov/grants/olaw/references/list.htm>

eSubmission:

Separate listservs available for scientists and administrators

<http://grants.nih.gov/grants/ElectronicReceipt/listserv.htm>

60

Thank you!



www.cancer.gov

www.cancer.gov/espanol