Preventing Bronchopulmonary Dysplasia: Knowledge, Implementation and Attitudes

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# Disclosure

- Neither I nor any member of my immediate family has a financial relationship or interest with any proprietary entity producing health care goods or services related to the content of this CME activity.
- I do not intend to discuss an unapproved or investigative use of commercial products or devices.

## Acknowledgements

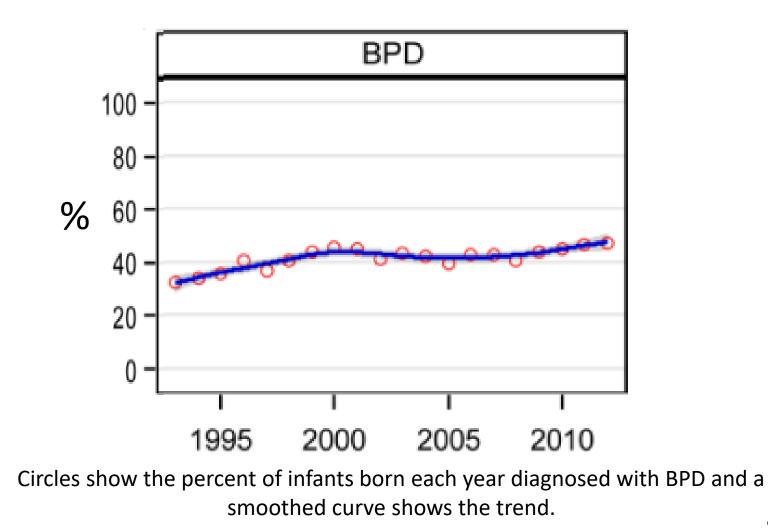
- Anil Narang
- O.N.Bhakoo
- Jeffrey Horbar
- Roger F. Soll
- Jerold F. Lucey
- James Handyside
- Benjamin Littenberg
- John Senders
- Terry Matlosz
- Mike Southgate

- Paul Batalden
- Gene Nelson
- Gerry O'Connor
- Paul Plsek
- Don Goldmann
- William Edwards
- George Blike
- Julianne Nickerson
- Helen Haskell
- Other families

# This Talk

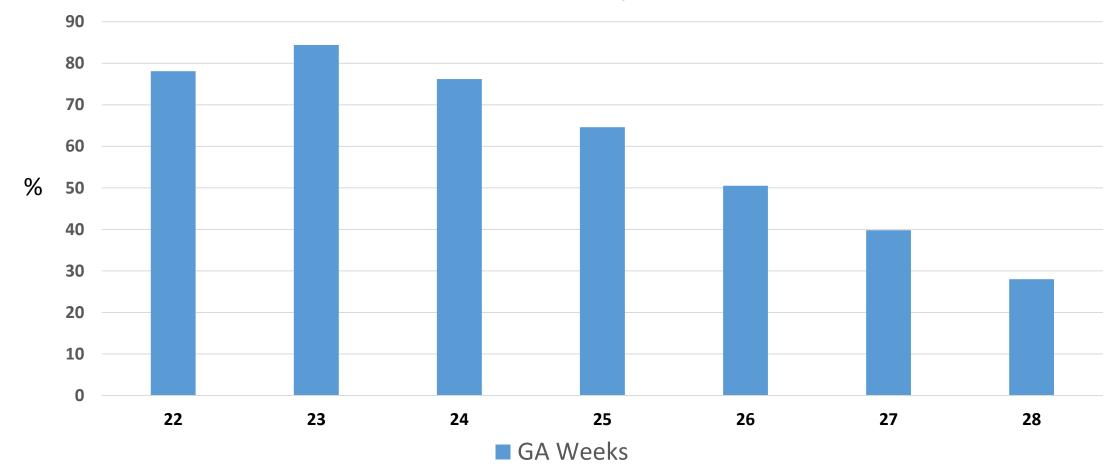
# BasicClinicalQualityOpinion,ScienceevidenceImprovementhypotheses,findingsideas

### NRN: BPD by birth year Infants born at GA 22–28 weeks 1993–2012



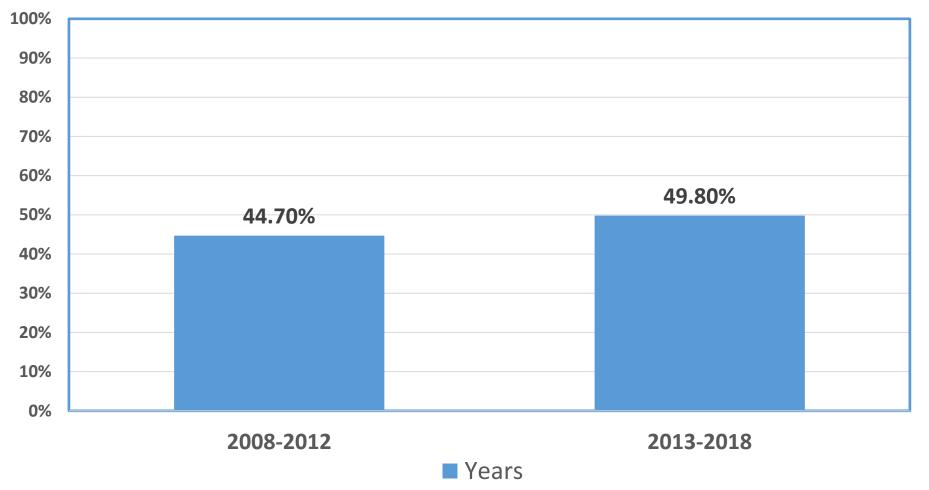
Stoll et al. JAMA 2015

#### NRN: BPD Incidence by Gestation at Birth

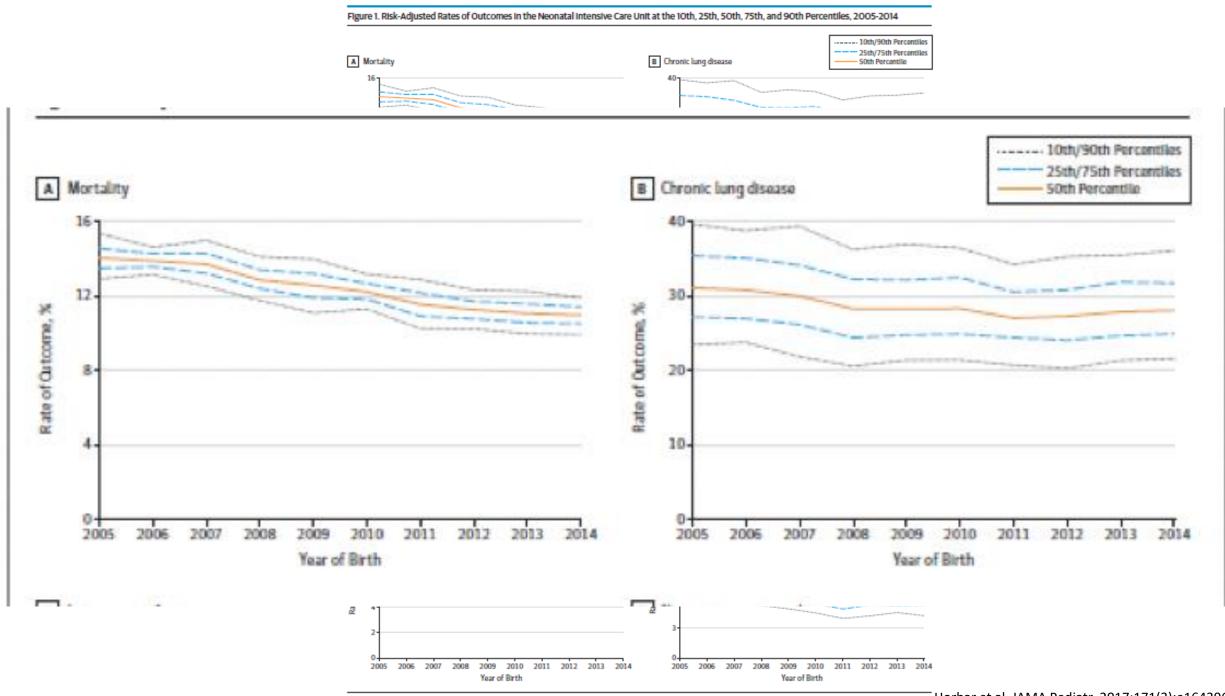


Bell et al. JAMA 2022

#### NRN: BPD Incidence in Two Epochs GA 22 – 28 weeks



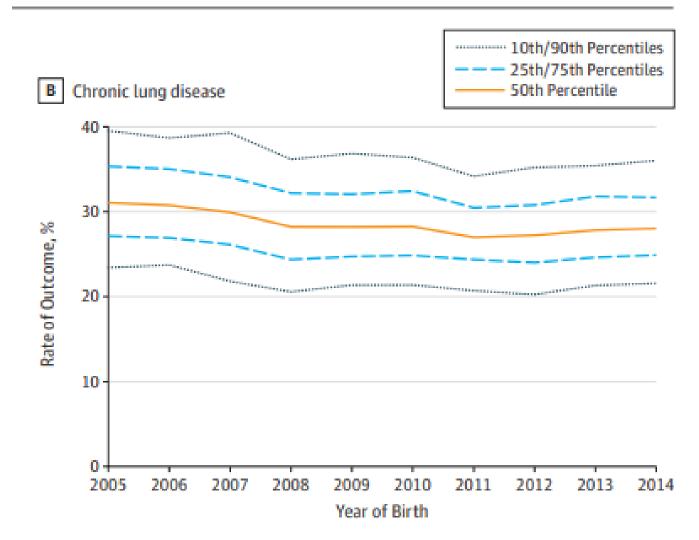
Bell et al. JAMA 2022



These charts liustrate percentiles of risk-adjusted rates for mortality and neonatal morbidities by year. A, Mortality. B, Chronic lung disease. C, Late-onset infection. Horbar et al. JAMA Pediatr. 2017;171(3):e164396.

### Chronic Lung Disease

Risk-Adjusted Rates, Birth Weight 501 – 1500 grams, 2005-2014



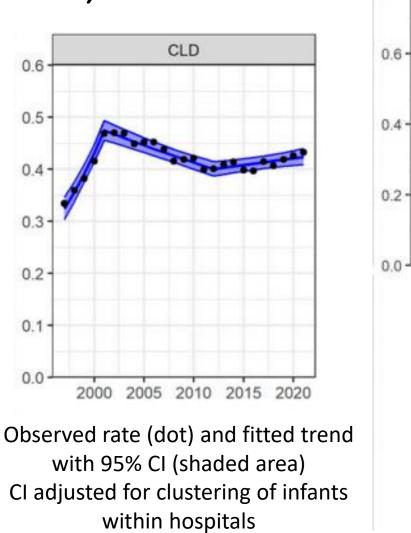
Data from Vermont Oxford Network. Horbar et al JAMA Pediatrics 2017

## Chronic Lung Disease 24 – 28 weeks GA, 1997 - 2021

#### Adjusted Annual Percentage Change

Years	aAPC	95% Cl
1997-2001	10.0	(8.2 to 11.8)b
2001-2012	-1.7	(-2.1 to -1.3)a
2012-2021	0.6	(0.1 to 1.0)b

a: Indicates increase b: Indicates stable



CLD

2005

2000

2010

Gestational age

24 weeks

25 weeks

26 weeks

27 weeks

28 weeks

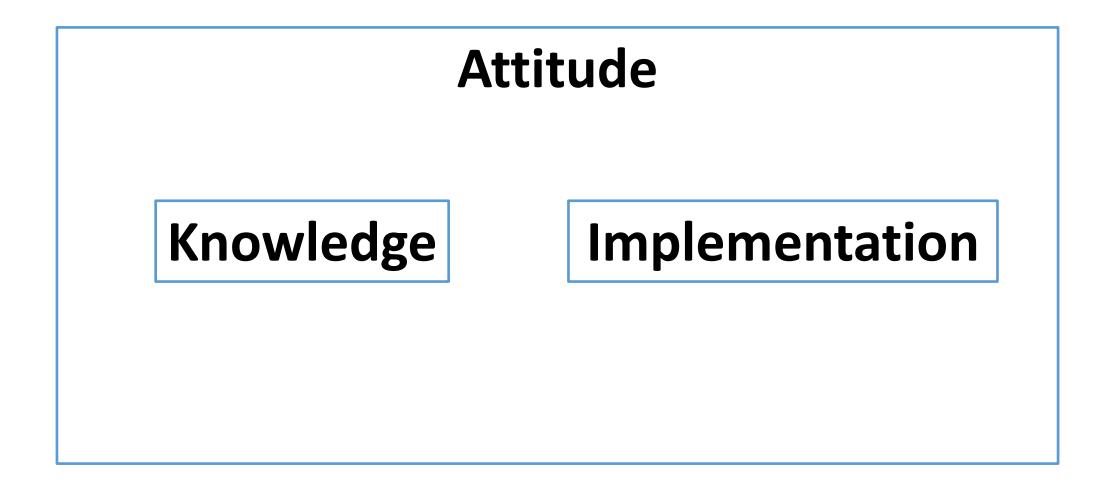
2015

2020

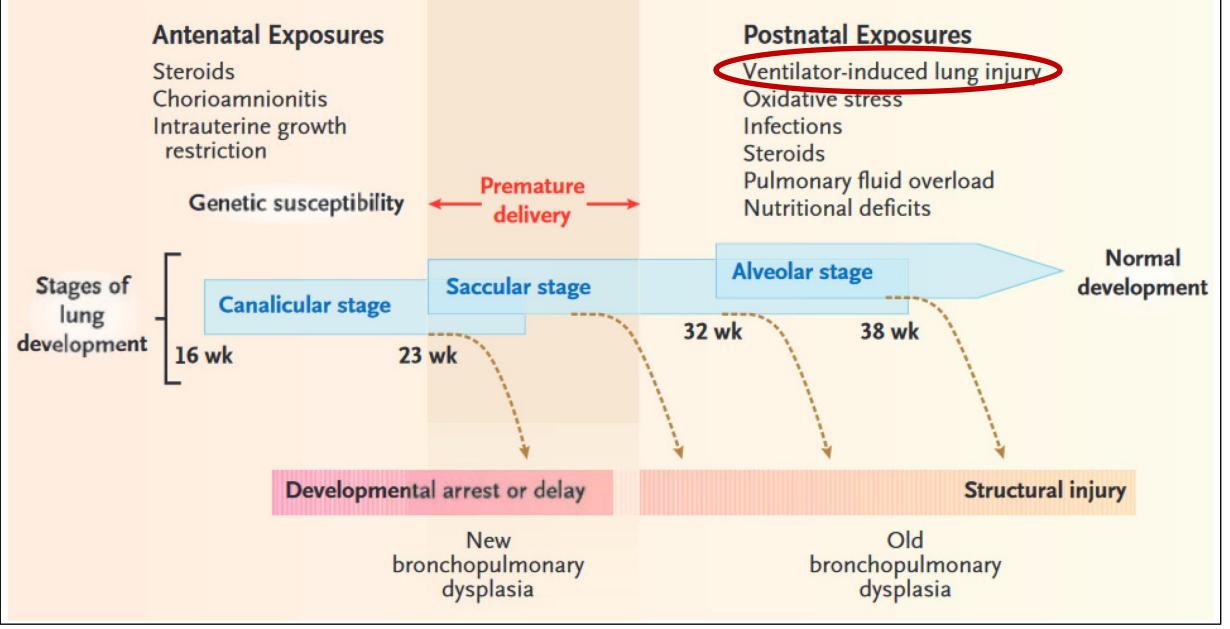
0.8

Data from Vermont Oxford Network. Horbar et al Pediatrics 2024

## Prevention of BPD Requires:



# Knowledge



Baraldi et al. N Engl J Med. 2007;357:1946-1955

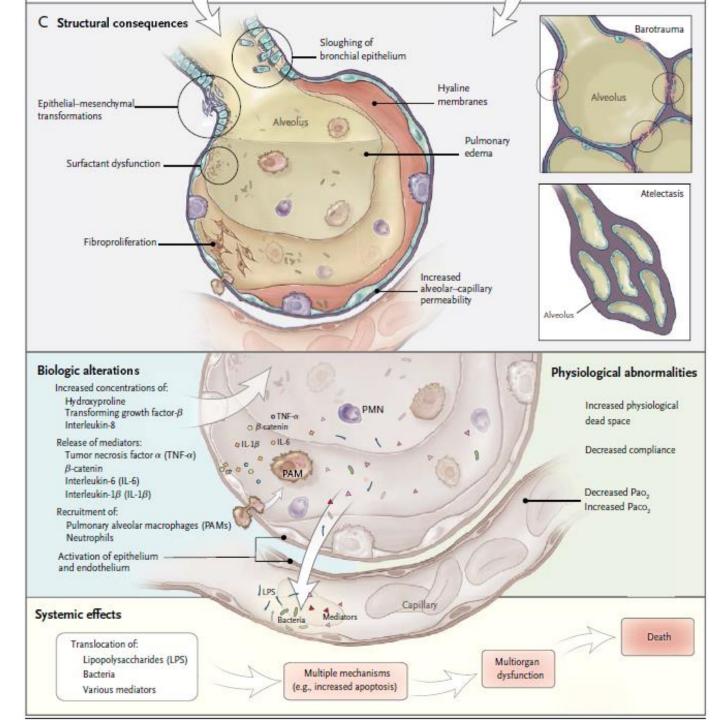
### **Ventilator-Induced Lung Injury - Mechanisms**

Volutrauma Atelectrauma Biotrauma Barotrauma



Oxitrauma Rheotrauma Ergotrauma

## VILI



Slutsky and Ranieri. NEJM 2013;369:2126-36

# **Evidence Summary**

(Interventions tested in RCTs, with evidence of effect only, variable certainty)

Intervention	Outcome	Relative Risk Reduction (%)	Absolute Risk Reduction (%)	
nCPAP vs mech ventilation	Death or BPD	9	4	
Volume targeted vs pressure limited ventilation	Death or BPD	27	12	
	BPD in survivors	32	11	
Elective HFOV vs conventional ventilation	BPD in survivors	14	5	
	Death or BPD	10	5	
Surfactant < 2hrs of age vs >	BPD	31	3	
2hrs of age+MV	Death or BPD	17	6	
LISA vs INSURE	BPD in survivors	43	8	
	Death or BPD	45	11	

BPD = Oxygen at 36 weeks PMA or at EDD Cochrane reviews; Schmolzer et al 2013, Aldana-Aguirre et al, 2017

# **Evidence Summary**

(Interventions tested in RCTs, with evidence of effect only, variable quality)

Intervention	Outcome	Relative Risk Reduction (%)	Absolute Risk Reduction (%)
Caffeine	BPD in survivors	22	11
Vitamin A	BPD in survivors	13	7
	Death or BPD	8	5
Vitamin D	BPD	64	
Corticosteroids	See later slides		

## NeOProM – Secondary Outcomes

	Lower SpO2 Target (%)	Higher SpO2 Target (%)	Risk Difference (%)	Relative Risk
Death before 36 wks PMA	17	14	2.5	1.18
Death before hospital DC	19	16	2.6	1.17
Severe NEC	9	7	2.3	1.33
Retinopathy of prematurity*	11	15	- 4	0.74
Suppl O2 @ 36 wks PMA	25	30	-5.6	0.81

\*Treated retinopathy of prematurity before corrected age of 18-24 mo

Askie et al. JAMA. 2018;319(21):2190-2201



## Non-respiratory Interventions that may Prevent BPD

# Prevention of Infection<sup>1</sup> Breast milk usage<sup>2,3,4,5</sup>

- 1. Lapcharoensap et al. J Pediatr 2017; 180:105-109.
- 2. Villamor-Martinez E. et al Front. Pediatr 2019; 7:224.
- 3. Villamor-Martinez E. et al. Nutrients 2018, 10, 238
- 4. Huang J, et al. Arch Dis Child Fetal Neonatal Ed 2019;104:F128–F136
- 5. Patel et al Arch Dis Child Fetal Ed. 2017 May ; 102: F256–F261

## No reduction in BPD Demonstrated (Other Outcomes may Improve)

- Antenatal corticosteroids
- Inhaled nitric oxide<sup>1</sup>
- Sustained inflation<sup>3</sup>
- Different supplemental FiO2 levels during resuscitation
- Prophylactic PDA closure

- Azithromycin<sup>2</sup>
- Indomethacin
- Bronchodilators
- Diuretics
- Vitamin E
  - 1. Barrington et al. Cochrane review 2017
  - 2. Lowe et al. Lancet Respir Med 2024
  - 3. Kirpalani et al. JAMA 2019

## Harm > Benefit

# Early (< 7 days dexamethasone)<sup>1</sup> Inhaled budesonide<sup>2,3</sup>

- 1. Doyle et al. Cochrane review 2021
- 2. Van de loo et al. Cochrane review 2024
- 3. Bassler et al, NEJM 2018

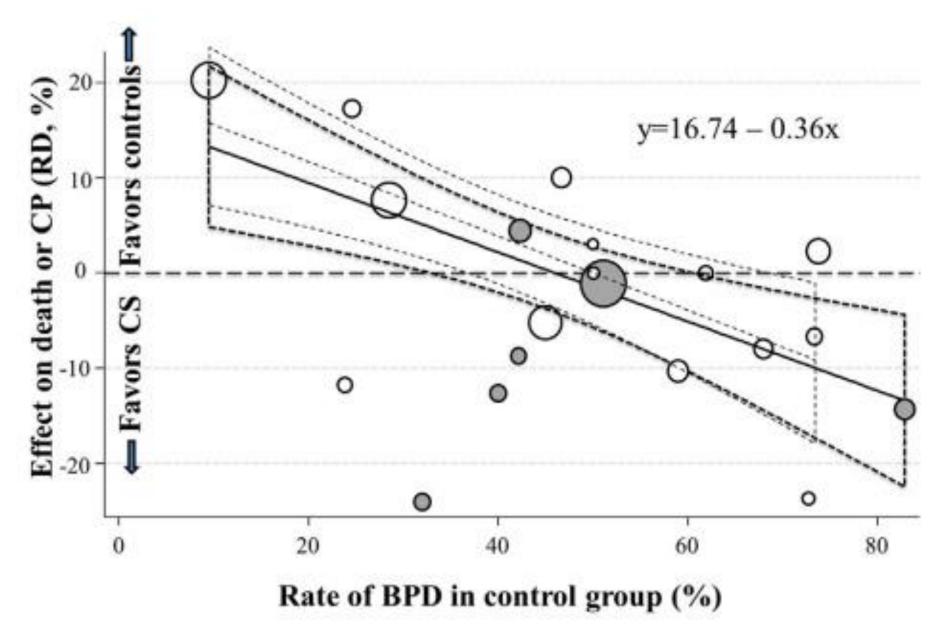
# Jury Still Out

Intratracheal budesonide with surfactant<sup>1</sup>
 Early (prophylactic hydrocortisone)<sup>2</sup>

- 1. Van de loo et al. Cochrane review 2024
- 2. Baud et al, 2016; Shaffer et al, 2019

## Postnatal Corticosteroids

	< 7 days	<u>&gt;</u> 7 days
Dexamethasone	Increases risk of death or CP, CP among survivors, and major neurosensory disability	DART regimen widely used but needs more study
Hydrocortisone	< 48 hrs (PREMILOC regimen) Improved survival without BPD but potential risks of infection, GI perforation (in combination with NSAIDs), IVH (in babies with high baseline cortisol)	No benefit (2 large trials)



Doyle et al 2014

### Bronchopulmonary Dysplasia

### Postnatal Corticosteroids

https://images.app.goo.gl/ZVrUNvFgzFowC69F8

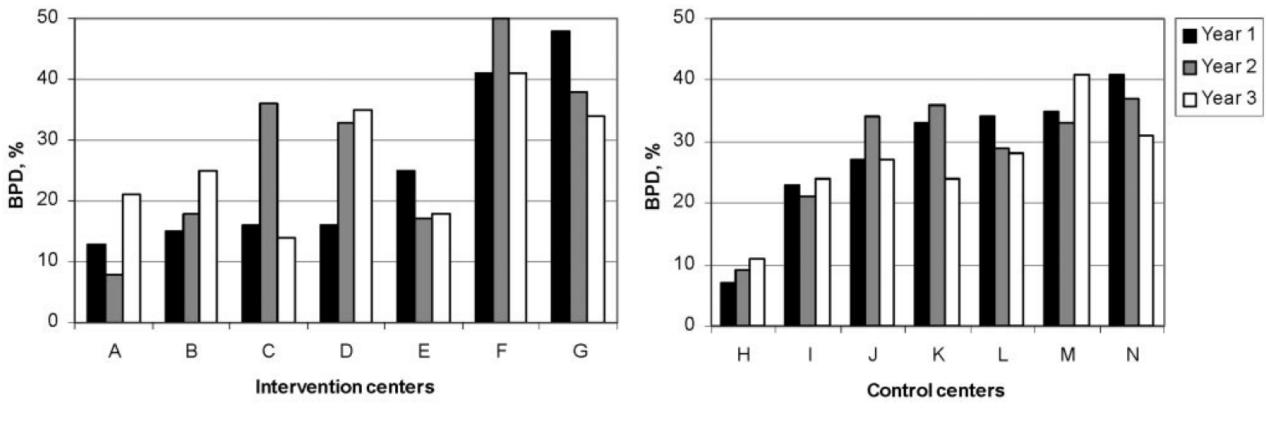
## Implementation

(Translation into Practice, QI, health-care delivery)

# QI Projects and BPD

- Projects primarily targeting BPD or lung injury
- •Golden hour projects
- •Variable results

### NRN Cluster RCT of Benchmarking & Multi-modal QI



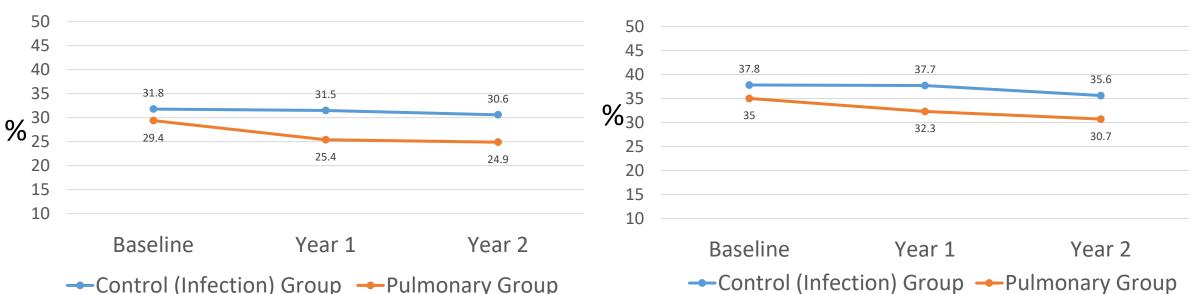
Survival free of BPD  $63.3 \rightarrow 62.2\%$ 

Survival free of BPD 62.7  $\rightarrow$  62.8%

Walsh et al, Pediatrics. 2007;119(5):876-90

## EPIQ Cluster RCT (Canadian Neonatal Network)

BPD

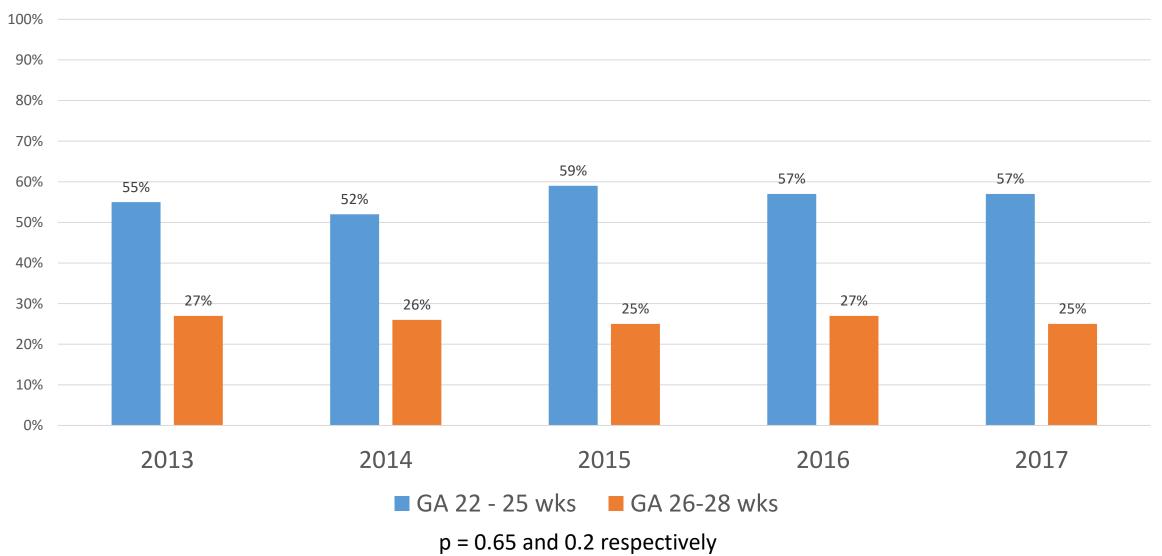


The difference in incidence trends (slopes of trend lines) in the infection and pulmonary groups was -0.0006 (95% CI –0.0011 to –0.0001) for bronchopulmonary dysplasia

Lee et al. CMAJ 2009. DOI:10.1503/cmaj.081727

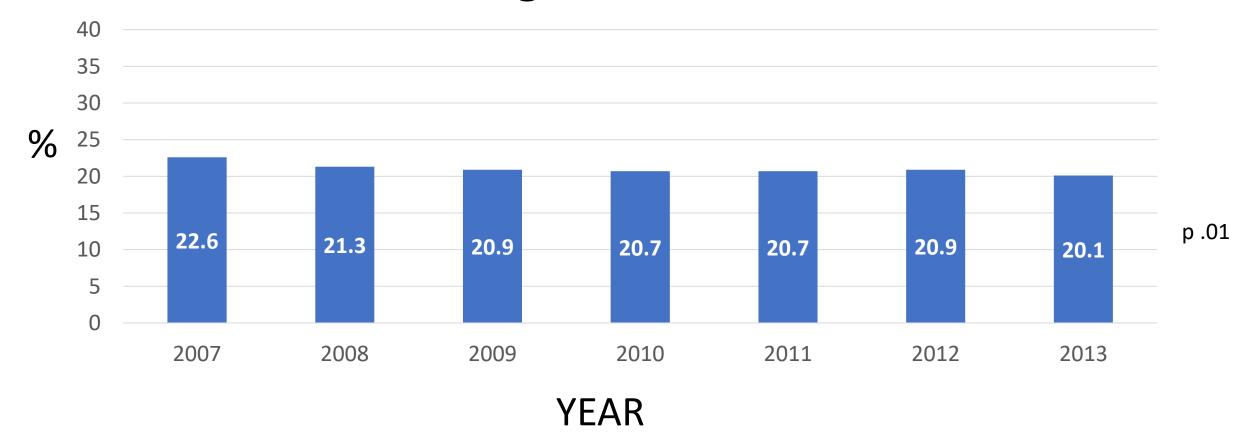
**BPD** or Death

### Evidence-based Practice for Improving Quality - 3



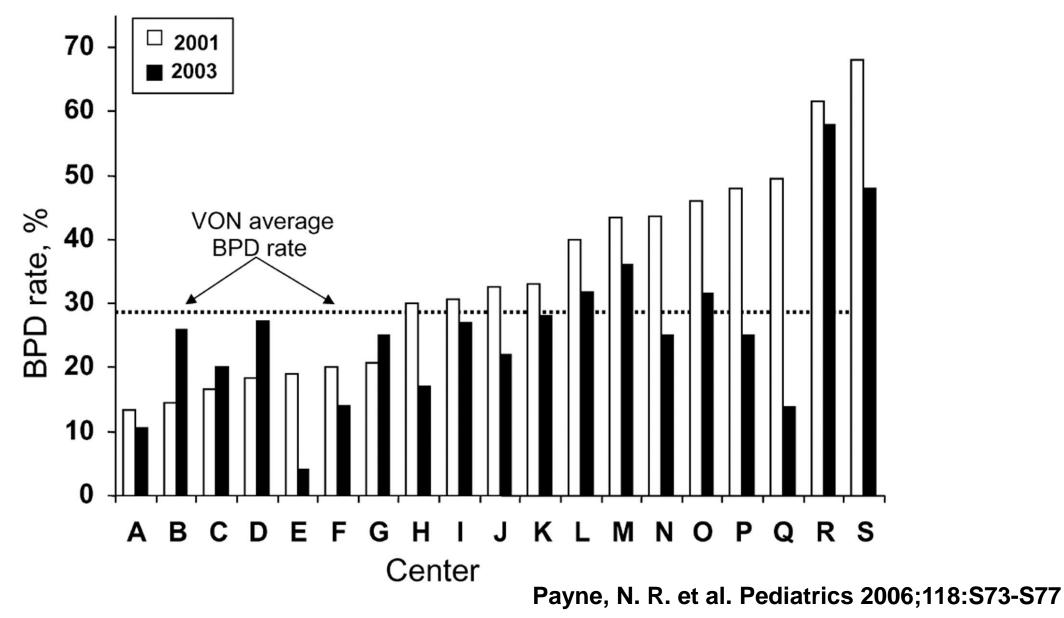
Shah et al. Can. J. Physiol. Pharmacol. 2019; 97: 213–221

### The 100,000 Babies Campaign: Chronic Lung Disease in Survivors



Ellsbury et al, Pediatrics. 2016;137:e20150389

**VON: Breathsavers NICQ Project** BPD Before and After Implementing PBPs



## VON: Reducing Lung Injury (ReLI) Group

Results of 9-year Quality Improvement Collaborative Participation by 8 NICUs

Outcome/Treatment	BW 501–1000 g					BW 1001–1500 g				
	1998 2001 2006 ( $N = 557$ ), ( $N = 599$ ), ( $N = 595$ ), -		a0R (95% CI)ª		1998	2001 ( <i>N</i> = 761).	2006 ( <i>N</i> = 844),	a0R (95% CI)ª		
	(// — 337), % <sup>b</sup>		( <i>N</i> — 595), % <sup>b</sup>	1998 vs 2001	1998 vs 2006	(N = 709), % <sup>b</sup>	(// — 761), % <sup>b</sup>	( <i>N</i> — 844), % <sup>b</sup>	1998 vs 2001	1998 vs 2006
BPD-free survival	47	39	37	0.7 (0.5–0.9)°	0.6 (0.5–0.8) <sup>d</sup>	84	86	86	1.1 (0.8–1.6)	1.3 (1.0–1.9)
Survival	83	81	85	0.8 (0.6-1.2)	1.3 (0.9-2.0)	96	97	98	1.4 (0.7-2.8)	2.2 (1.1–4.7)°
BPD	44	52	57	1.5 (1.1–2.1) <sup>d</sup>	1.8 (1.3–2.4) <sup>d</sup>	13	12	12	0.9 (0.6-1.3)	0.8 (0.6-1.2)
DR intubation	92	79	82	0.1 (0.09–0.2) <sup>d</sup>	0.2 (0.09–0.3) <sup>d</sup>	53	37	31	0.4 (0.3–0.5) <sup>d</sup>	0.3 (0.2–0.3) <sup>d</sup>
Any surfactant	89	85	90	0.4 (0.3–0.7) <sup>d</sup>	0.8 (0.5-1.3)	51	46	49	0.7 (0.6–1.0)°	0.8 (0.6-1.0)
Surfactant at <30 min	61	85	92	4.7 (3.2-6.9) <sup>d</sup>	10.3 (6.6–16.1) <sup>d</sup>	46	62	68	2.3 (1.5–3.4) <sup>d</sup>	3.4 (2.3–5.1) <sup>d</sup>
Conventional ventilation	92	84	87	0.3 (0.2-0.4) <sup>d</sup>	0.3 (0.2-0.5) <sup>d</sup>	62	47	45	0.4 (0.3-0.5) <sup>d</sup>	0.3 (0.2-0.4) <sup>d</sup>
High-frequency ventilation	60	47	53	0.4 (0.3–0.6) <sup>d</sup>	0.6 (0.4–0.8) <sup>d</sup>	14	10	10	0.8 (0.5-1.1)	0.7 (0.5-1.0)
NCPAP at any time	68	81	85	2.3 (1.7–3.2) <sup>d</sup>	3.4 (2.4–4.7) <sup>d</sup>	49	65	73	2.5 (2.0-3.2) <sup>d</sup>	3.5 (2.7–4.4) <sup>d</sup>
Steroids for BPD	63	27	21	0.1 (0.09–0.2) <sup>d</sup>	0.1 (0.1–0.1) <sup>d</sup>	14	3	2	0.2 (0.1–0.3) <sup>d</sup>	0.07 (0.0-0.1) <sup>d</sup>

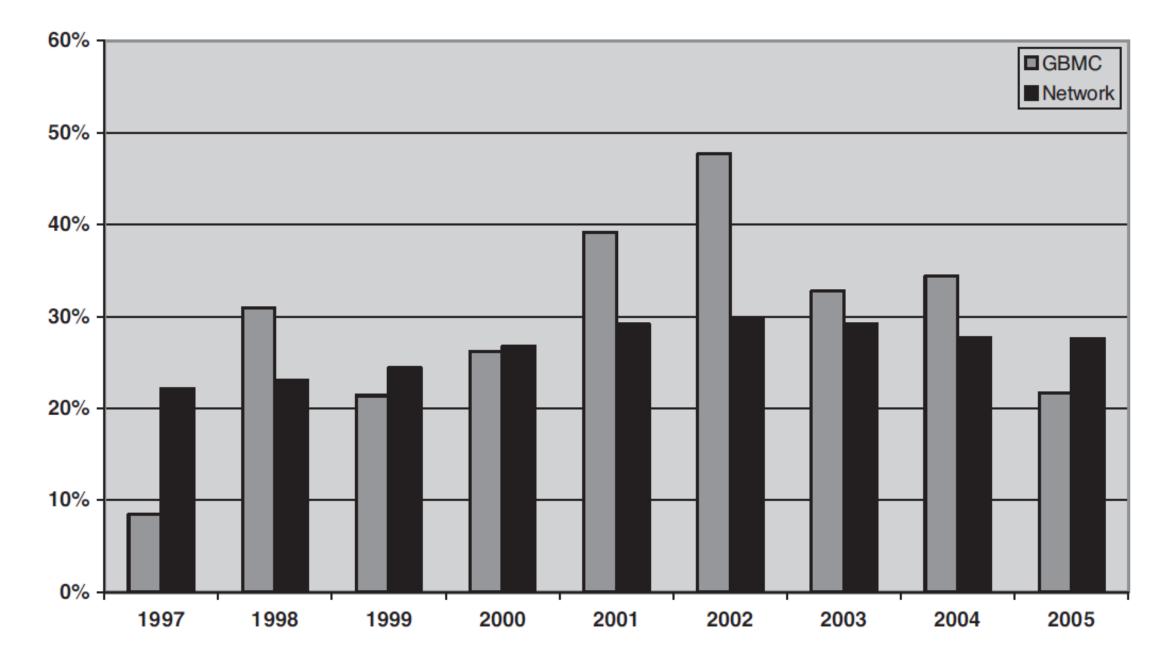
TABLE 6 Changes in Outcome and Treatment According to Birth Weight Group

<sup>a</sup> ORs are adjusted for birth weight percentile category, gestational age group, prenatal care (yes/no), antenatal steroids, gender, black maternal race, outborn birth location, vaginal delivery, multiple birth, Apgar score of <4 at 1 min, early-onset bacterial sepsis, and birth defect.

<sup>b</sup> Unadjusted.

°*P* < .05.

d *P* < .01.

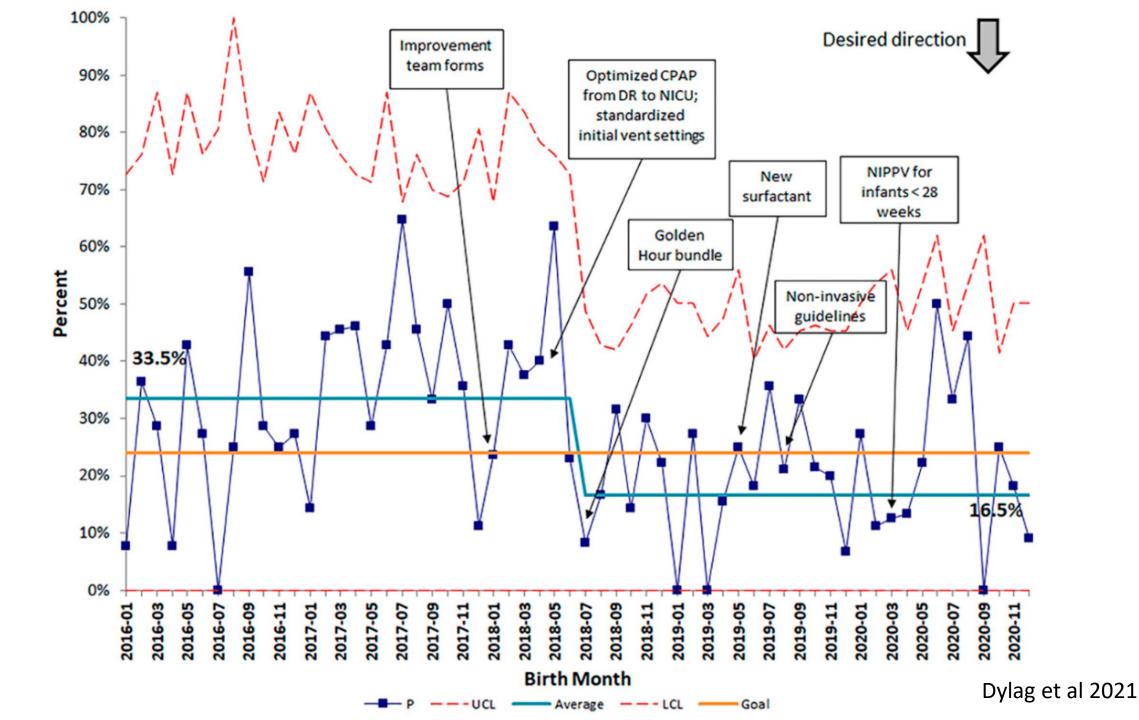


Birenbaum et al, *Pediatrics* 2009;123;44-50.

## Systematic Review: QI to Reduce BPD

- Publications describing QI projects to reduce BPD, n = 34
- Published between 2001 2020
- Interventions: Delivery room-based interventions; surfactant delivery, increased and optimized noninvasive ventilation; approach to mechanical ventilation; avoidance of hyperoxia
- Single center reports BPD I reported in 15 / 22
- QI collaboratives BPD reported in 5 / 7

Healy et al 2021

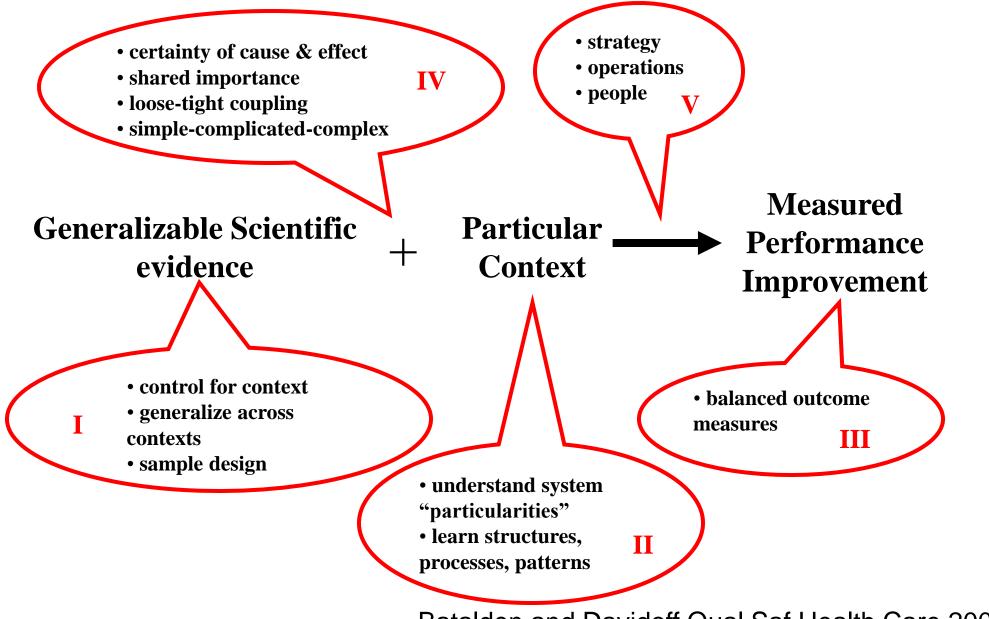


### Golden Hour Projects: CPQCC

Outcome	Group Adjusted Odds Ratio (95% confidence interval) (post-intervention vs. baseline period)			
BPD	Collaborative QI NICU QI Non-participants	<b>0.80 (0.65-0.99)</b> 1.10 (0.86-1.40) 1.00 (0.81-1.24)		
BPD or Death	Collaborative QI NICU QI Non-participants	0.83 (0.69-1.00) 1.00 (0.80-1.24) 1.00 (0.83-1.21)		

#### Why Variable Results?

#### Linking Evidence to Improvement



Batalden and Davidoff Qual Saf Health Care 2007; 16:2-3

#### Some of the PBPs Used in BPD QI Efforts (In various combinations, often by center's choice)

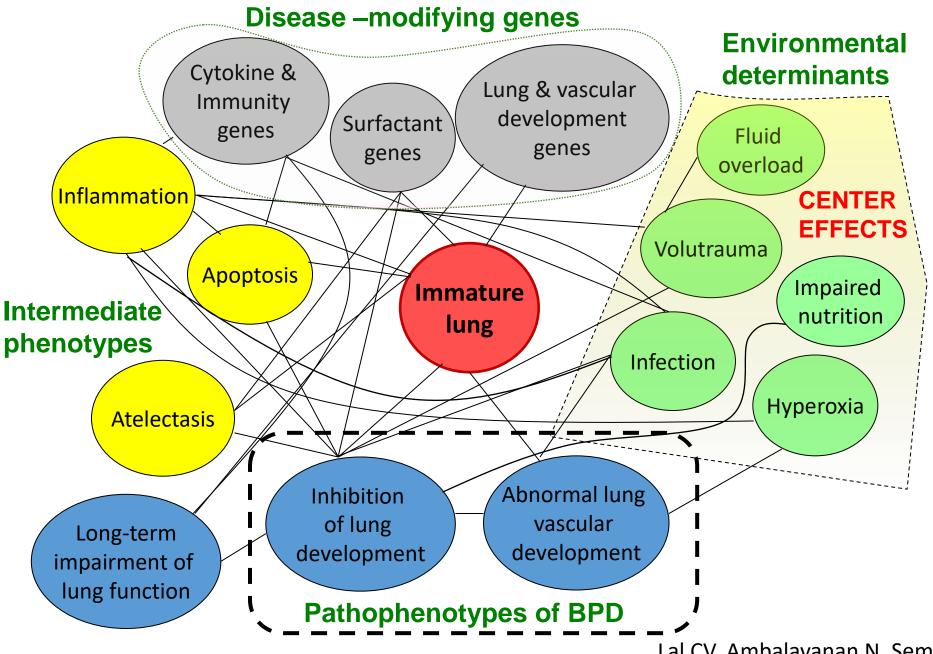
- Antenatal steroids
- Surfactant (including prophylactic)
   Permissive hypercapnia
- CPAP / avoidance of intubation
- T-piece resuscitator
- INSURE
- Volume ventilation
- Early extubation
- NIPPV

- Oxygen saturation targeting
- Lower oxygen saturation goals
- Fluid restriction
- Vitamin A
- Caffeine
- Aggressive PDA management
- Non-routine analgesics/sedatives

## BPD

- Not one disease
- Heterogeneity in the clinical phenotype, genetic basis, and pathology
- "All normal lungs are similar; every abnormal lung is abnormal in its own way"
- Our current state of knowledge similar to that regarding sepsis in 1930s, or leukemias in 1950s

#### **BPD** disease network



Lal CV, Ambalavanan N. Semin Perinatol 2015

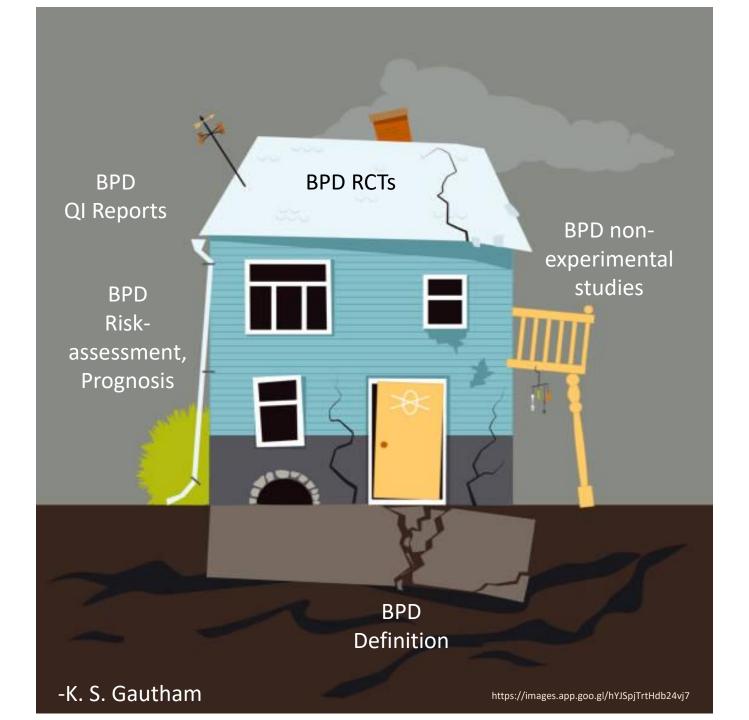
# **Terminology & Definitions**

- Various definitions Bronchopulmonary dysplasia
- •Chronic lung disease
- 28 consecutive days of oxygen
- Oxygen on day 28
- Oxygen at 36 weeks PMA
- Respiratory support @ 36 wks PMA
- Oxygen challenge test
- Radiographic findings

## What Outcome to Target?

- •CLD
- •BPD
- •Severity of BPD
- Death or BPD
- •Sub-type of BPD (several phenotypes)

- •Compliance with unit guidelines / best practices
- Different goals for different GA categories
- Discharge on oxygen
- Tracheostomy
- Ventilator days



#### Attitude (and belief)

#### **Two Conceptual Models for Nosocomial Sepsis**

	ENTITLEMENT	PREVENTION
Causality	Unavoidable – inherent risk from poor immune function and necessary invasive care	Preventable in most instances
Focus of care team	Early detection	Prevention
Why did it happen?	Chance or unavoidable	Breakdown in ideal care
Responsibility	Baby's vulnerability	Care team
Motivation for improvement	Fatalistic, inevitable	Challenge to continually improve

#### **Central Line–Associated Bloodstream Infections** in Neonatal Intensive Care: Changing the Mental Model from Inevitability to Preventability

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Hampshire	

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Am | Perinatol 2012;29:57-64.

#### Abstract

Keywords

health care-

Previously considered unavoidable complications of hospital care (reflecting an "entitlement" mental model), health care-associated infections are now considered as medical errors and cause significant preventable morbidity and mortality in neonates. Prevention of such infections, particularly central line-associated bloodstream infections (CLABSI), should be an important patient safety priority for all neonatal intensive care units (NICUs). An important first step is to promote a mental model of CLABSIs as preventable complications of care. Other general strategies are (1) promoting an organizational culture of safety and empowerment of staff; (2) hand hygiene; associated infection (3) avoiding overcrowding and understaffing; (4) using breast milk for enteral feedings; and (5) involving families in infection prevention efforts. Specific strategies to prevent nosocomial infection

#### Who is the 'Ventilator'?





The clinician using the machine

Both are important in the causation and prevention of BPD

# Physician-induced Lung Injury

- •Term used by Villar 2005 in relation to ARDS
- Preventable lung injury not avoided due to lack of knowledge, not following evidencebased practices, omissions, errors
- •'Modifiable risk factor' contributing to BPD
- Emphasizes preventability, accountability

## Human Fallibility / Failure

- •Necessary fallibility (things beyond our capacity)
- Unnecessary fallibility
  - Ignorance (knowledge not yet present)
  - Ineptitude (fail to apply knowledge correctly)

## 'Respiratory Ineptitude'

Manual PPV / Hand-bagging

"There is perhaps nothing more dangerous for the preterm lung than an anxious physician with an endotracheal tube and a bag."<sup>1</sup>

- Trying to assess lung compliance by bagging 'educated hand' myth<sup>2,3</sup>
  - 1. Jobe AH. J Pediatr. 2005 Sep;147:284-6
  - 2. Bowman et al. J Pediatr. 2012 Mar;160:372-376
  - 3. Kattwinkel et al. Pediatrics. 2009 Mar;123:e465-70

## 'Respiratory Ineptitude'

- •'Road trips' to OR, radiology, IR & from DR
- Delaying extubation needlessly
- •Wrong weight entered into the ventilator (Tidal volume per kg erroneous)
- •Ventilation prior to and during transport

## 'Respiratory Ineptitude'

- Inconsistency of care
  - 'Ventilators' have different styles based on training and experience
- •Lack of vigilance and monitoring

- Oxygen saturation, tidal volume, PIP and PEEP, bedside pulmonary graphics, blood gases, transcutaneous gases

Survival
Lung health
Brain health

#### LUNG INJURY

#### LUNG INFLATION Gas exchange

K. S. Gautham

## What Causes Ineptitude?

- Focus on the visible rather than invisible
- Focus on short-term and ignoring long-term ('short-termism')
- Lack of belief in preventability and one's own contribution to such preventability
- •Unit culture and group beliefs Plato's cave

### Conclusions

- A portion of BPD in your unit is preventable
- Prevention requires a belief in preventability + implementation of practices supported by highquality evidence + vigilance and meticulous monitoring of respiratory support and status
- Monitor multiple indicators of lung health, not just BPD Yes/No

## The Amazing Preterm Lung

- The very preterm lung is easily injured even with gentle ventilation
- Injury can be minimized with ACS, surfactant, CPAP, limiting oxygen, non-invasive ventilation
- Lung can function, 'heal', grow in spite of injury, and avoid fibrosis
- Developmental and repair programs can over-ride ongoing injury to permit relatively normal lung structure and function by midchildhood
- "The neonatal community tends to focus on the most severe cases with injured lungs and poor neurodevelopmental outcomes. It is worth celebrating the remarkable lungs for the majority of preterm infants."

#### Thank You!