### UTSouthwestern Medical Center

# Advances in Devices for Stroke Rehabilitation

UT Southwestern Cerebrovascular and Stroke Symposium

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## Disclosures

• None

## Objectives



REVIEW OF PERTINENT REHAB ASSESSMENTS FOR STROKE PATIENTS



DEVICES UTILIZED TO IMPROVE UPPER EXTREMITY MOTOR SCORES AND FUNCTION



DEVICES UTILIZED FOR PHARYNGEAL DYSPHAGIA

FMA-UE PROTOCOL

Rehabilitation Medicine, University of Gothenburg

FUGL-MEYER ASSESSMENT UPPER EXTREMITY (FMA-UE)

ID: Date:

Assessment of sensorimotor function Examiner:

Fugl-Meyer AR, Jaasko L, Leyman I. Olsson S. Steglind S: The post-stroke hemiplegic patient. A method for evaluation of physical performance. Scand J Rehabil Med 1975, 7:13-31.

I. Reflex activity					can be	elicited
Flexors: biceps and finger flexors (at least one) Extensors: triceps				0	2 2	
			Subtotal I (max 4)			
II. Volitional moveme	nt within	ynergies,	without gravitational help	none	partial	full
Flexor synergy: Hand from contralateral knee to ipsilateral ear. From extensor synergy (shoulder adduction/ internal rotation, elbow extension, forearm pronation) to flexor synergy (shoulder adduction/ external rotation, elbow flexion, torearm supination).  Extensor synergy: Hand from ipsilateral ear to the contralateral knee		Shoulder  Elbow Forearm	retraction elevation abduction (90°) external rotation flexion supination	0 0 0 0 0 0	1 1 1 1 1 1 1	2 2 2 2 2 2
		Shoulder Elbow Forearm	adduction/internal rotation extension pronation	0	1	2 2 2
pointional ear to the contra	and an Allee	/3/	Subtotal II (max 18)			-
III. Volitional moveme	ent mixino	synergie	s, without compensation	none	partial	full
Hand to lumbar spine hand on lap	cannot peri	annot perform or hand in front of ant-sup iliac spine and behind ant-sup iliac spine (without compensation) and to lumbar spine (without compensation)			1	2
Shoulder flexion 0°- 90° elbow at 0° pronation-supination 0°	abduction of	mmediate abduction or elbow flexion abduction or elbow flexion during movement lexion 90°, no shoulder abduction or elbow flexion			1	2
Pronation-supination elbow at 90° shoulder at 0°	no pronation/supination, starting position impossible limited pronation/supination, maintains starting position full pronation/supination, maintains starting position Subtotal III (max 6)			SI	TE	2
IV. Volitional movem	ent with li	ttle or no	CONTROL OF THE PROPERTY OF THE	none	partial	full
Shoulder abduction 0 - 9	0° immedia	ate supination or elbow flexion on or elbow flexion during movement on 90°, maintains extension and pronation		0	1	2
forearm pronated	Shoulder flexion 90° - 180° immedia elbow at 0° abductio pronation-supination 0° flexion 1		ate abduction or elbow flexion on or elbow flexion during movement 80°, no shoulder abduction or elbow flexion			
Shoulder flexion 90° - 180 elbow at 0° pronation-supination 0°	abduction 1	on or elbow fi 80°, no shou	lexion during movement ulder abduction or elbow flexion	0	1	2
Shoulder flexion 90° - 180 elbow at 0°	abduction 1 no pron limited p	on or elbow for 80°, no shou ation/supinat pronation/sup	lexion during movement	0	1	2
Shoulder flexion 90° - 18telbow at 0° pronation-supination 0° Pronation/supination elbow at 0° shoulder at 30° 90° flexion	abduction flexion in no pron limited p full pron	on or elbow fi 80°, no shou ation/supinat oronation/sup ation/supinat ad only if full s	exion during movement ulder abduction or elbow flexion ion, starting position impossible ination, maintains start position iton, maintains starting position		2/0	

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## Fugl-Meyer Assessment Upper Extremity

<30 severe impairment

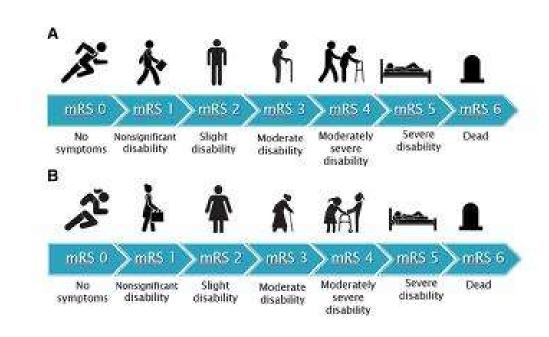
31-45 moderate impairment

>45 mild impairment

## Wolf Motor Function Test

Task	Time	Functional Ability	Comment
1. Forearm to table (side)		012345	
2. Forearm to box (side)		0 1 2 3 4 5	
3. Extend elbow (side)		0 1 2 3 4 5	
4. Extend elbow (weight)		0 1 2 3 4 5	
5. Hand to table (front)		0 1 2 3 4 5	
6. Hand to box (front)		0 1 2 3 4 5	
7. Weight to box	lbs.		
8. Reach and retrieve		0 1 2 3 4 5	
9. Lift can		0 1 2 3 4 5	
10. Lift pencil		0 1 2 3 4 5	
11. Lift paper clip		0 1 2 3 4 5	
12. Stack checkers		012345	
13. Flip cards		0 1 2 3 4 5	
14. Grip strength	kgs.		
15. Turn key in lock		0 1 2 3 4 5	
16. Fold towel		0 1 2 3 4 5	
17. Lift basket		012345	





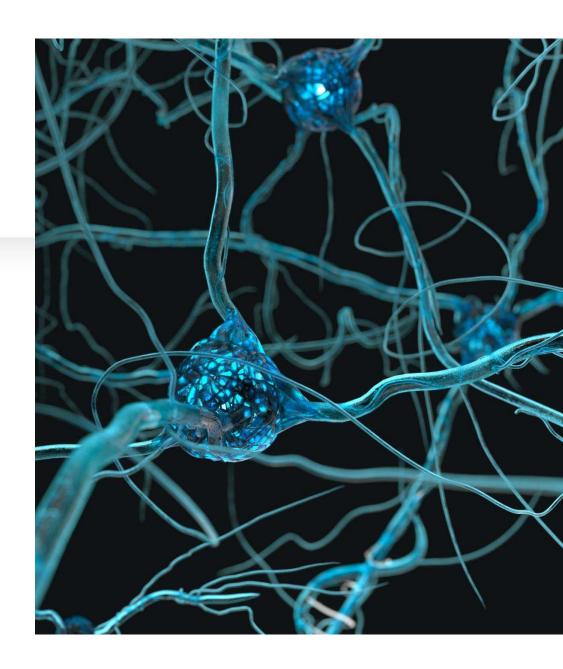


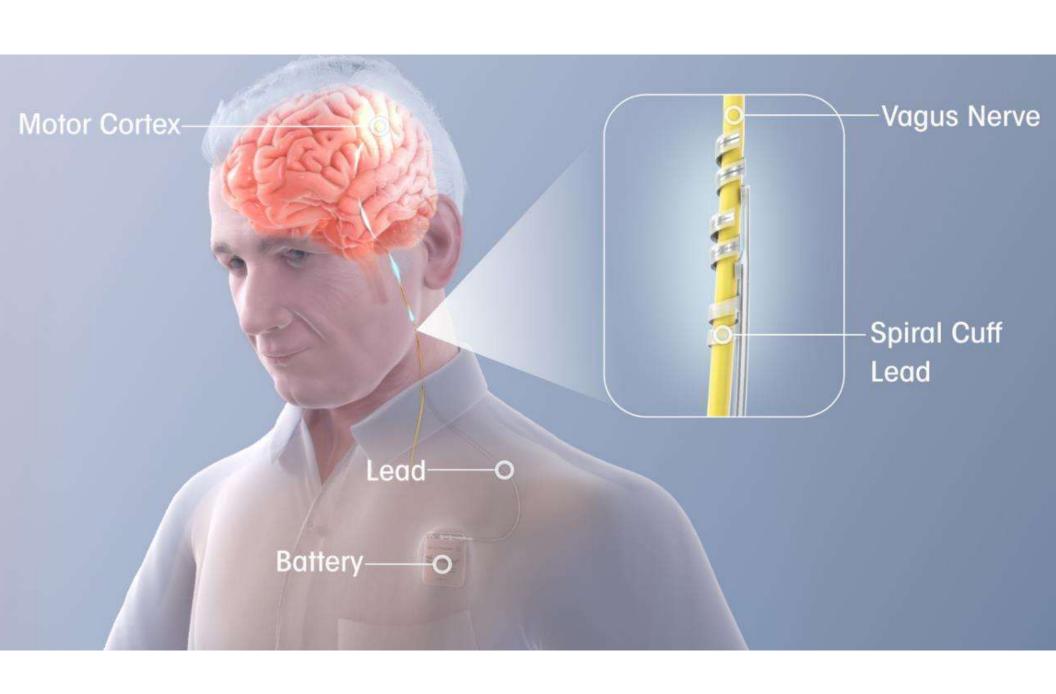
Jeffrey L. Saver Stroke Standardized Nomenclature for Modified Rankin Scale Global Disability Outcomes: Consensus Recommendations From Stroke Therapy Academic Industry Roundtable XI, Volume: 52, Issue: 9, Pages: 3054-3052, DOI: (10.1161/STROKEAHA.121.034480)

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## Vagus nerve stimulation

- Enhance the reorganizational potential and neuroplasticity of the brain by modulating cholinergic and monoaminergic motor cortex neurons
- Done by stimulating the vagus nerve while pairing with sensory or motor training

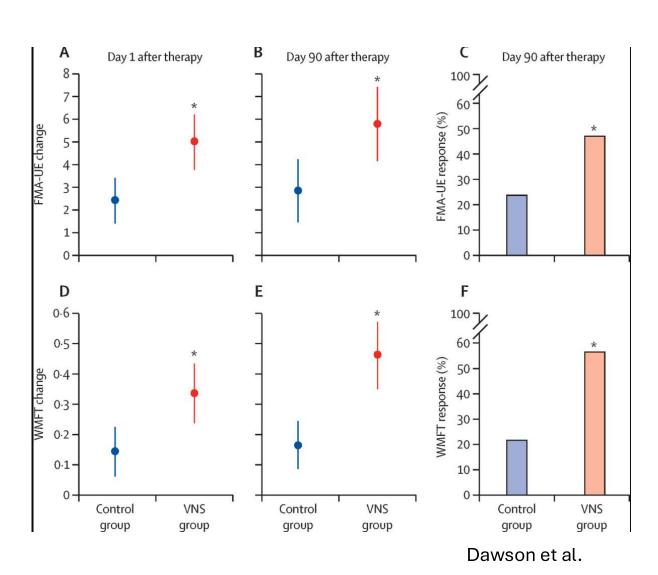




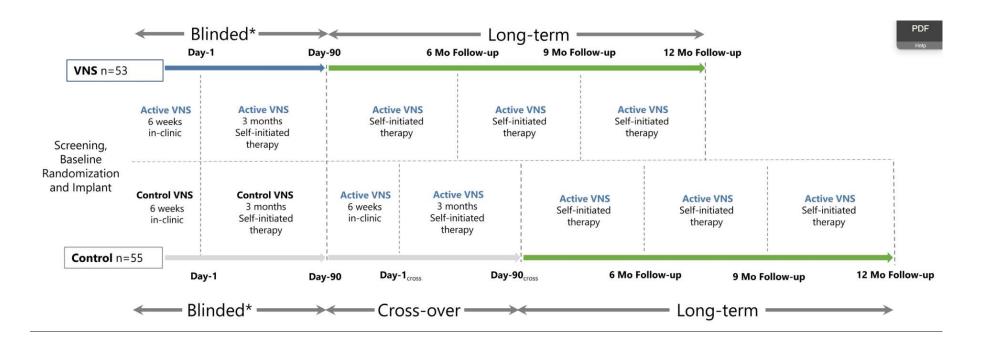
## Vagus nerve stimulation & Upper Extremity

- VNS-REHAB study
  - Triple blind, sham-controlled trial at 19 locations in the US and UK, 108 participants
  - Moderate to severe arm weakness >/= 9 months s/p ischemic stroke
  - Everyone had a device implanted
    - 0.8mA, 100 microseconds, 30 Hz stim pulses lasting 0.5s
    - 0mA pulses
  - o 6 weeks of in-clinic therapy (3x/wk, 18 total sessions), then home program
  - Fugl-Meyer was assessed at 90 days
  - o Dawson et al.

# Response and change to FMA-UE and WMFT



# Long-term outcomes of VNS with UE Rehabilitation



Kimberley et al.

### Long-term Outcomes of Vagus Nerve Stimulation (VNS) Paired with Upper Extremity Rehabilitation After Stroke

- · Participants with chronic ischemic stroke and moderate to severe arm impairment
- · Participants from the VNS-REHAB pivotal trial completed one year outcomes

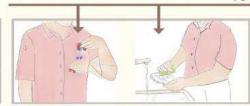
# VNS Implant

#### In-clinic Therapy



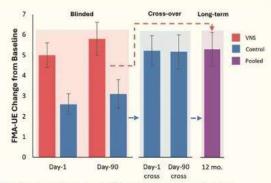
In-clinic, intensive, task-based therapy paired with VNS

#### Self-initiated VNS with Home Therapy



Long-term phase: home therapy with magnet swipe

#### Results



- Controls achieved additional improvement after cross-over to Active VNS
- Improvements in upper extremity impairment (FMA-UE, primary outcome) were maintained after Paired VNS

#### Conclusions

- People treated with Paired VNS
   maintained long-term improvements in
   impairment, activity, participation, and
   quality-of-life at one year
- Paired VNS is an FDA-approved, useful treatment option for long-term benefit in individuals with chronic upper extremity limitations after ischemic stroke

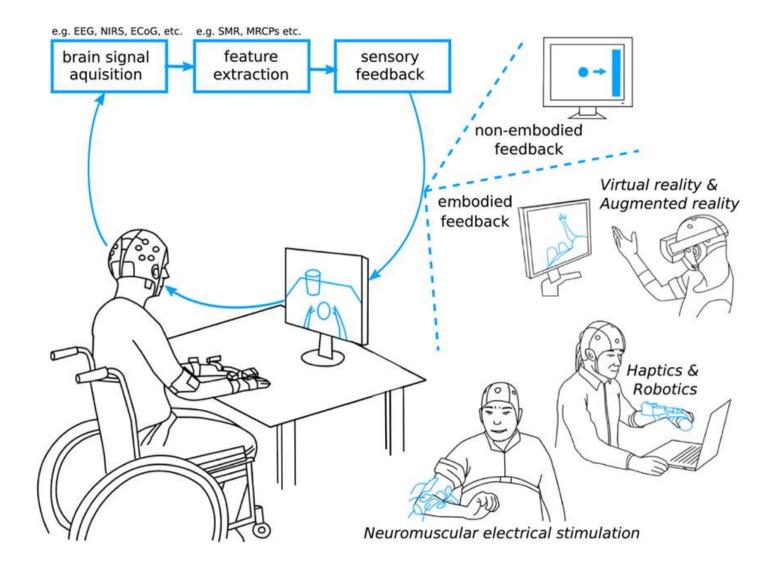
Kimberley et al.

## Brain Computer Interface (BCI)

Direct communication between the brain's electrical activity and an external device, such as a robotic limb

For stroke patients, it can provide sensory feedback to modulate purposeful sensorimotor activities

 Potentially repeated use can trigger neurological recovery and improvement in motor function



## BCI and poststroke motor recovery

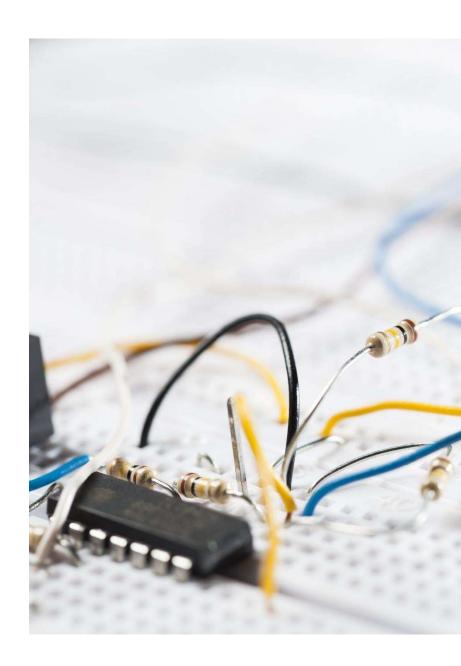
- Meta-analysis (Cervera et al.)
- 6 smaller studies demonstrated improvement in the FMA-UE exceeding the minimally clinically important difference
  - o N varied from 14 to 47
- Overall, standardized mean improvement of 0.79 in FMA-UE
- Studies with larger sample sizes are needed though

## BCI and poststroke motor recovery

- Another meta-analysis suggests slight efficacy in improving upper limb functioning
- Possibly slight improvement
  - Subgroup analysis demonstrated better effects if <12h of training rather than >12h of training
  - No difference in ADLs
- Follow-up times in the studies was quite variable, from 2 weeks to 18 weeks
- Zhang et al

## Electromagnetic Network Targeting Field (ENTF) Therapy

- Non-invasive electromagnetic field treatment
  - O Low frequency 1-100h
  - Low intensity electromagnetic field (<1 Gauss)</li>
- Exposing impaired neuronal networks to oscilliating fields similar to a healthy CNS produces neuroprotective mechanisms AND promotes functional network reorganization
- Encouraging pilot study ...



# Frequency tuned electromagnetic field treatment

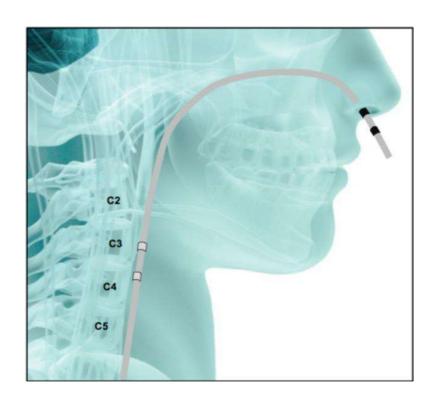
- EMAGINE-Study
- Moderate to moderately-severe global disability stroke patients with upper extremity impairment
- More acute than other studies (4-21 days after the stroke)
- 45 treatment sessions





- Trial was stopped after 100 participants were enrolled
- Interim analysis did not show that results would meet statistical significance
- Difficulties:
  - Imbalance in randomization treatment group tended to have more R hemisphere strokes, larger strokes, and greater disability at baseline

- An internal device inserted similar to an NG tube with built-in stimulation electrodes
- Maximal corticobulbar excitability occurs at 5Hz, 10 minutes, 75% maximum tolerated intensity (Hamdy, Fraser)
  - Demonstrated faster initiation of each swallow and a reduction in the frequency of aspiration for at least 1h after stimulation.



- Bath et al.'s RCT 162 patients with recent ischemic or hemorrhagic stroke and dysphagia with Penetration Aspiration Score >/=3 on VFSS
  - Within 42 days of stroke
  - 3 on the scale = entry of material into the larynx without clearing
  - Threshold and tolerance levels were determined for both groups, but sham did not receive stimulation afterwards.
  - Researchers were not blinded

- Primary outcome: swallowing safety at 2 wks
- Secondary outcome: dysphagia severity, function, QOL, and serious adverse events at 6 and 12 weeks
- Results:
  - Study demonstrated swallowing safety at 2 weeks
  - No serious adverse events at 6 and 12 weeks
  - But when compared to sham stimulation, no significant superiority regarding aspiration

- Another study with participants with wider variety of causes of neurogenic related dysphagia (Bath et al.)
- Safe, but no evidence of statistically significant improvement in swallow

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